

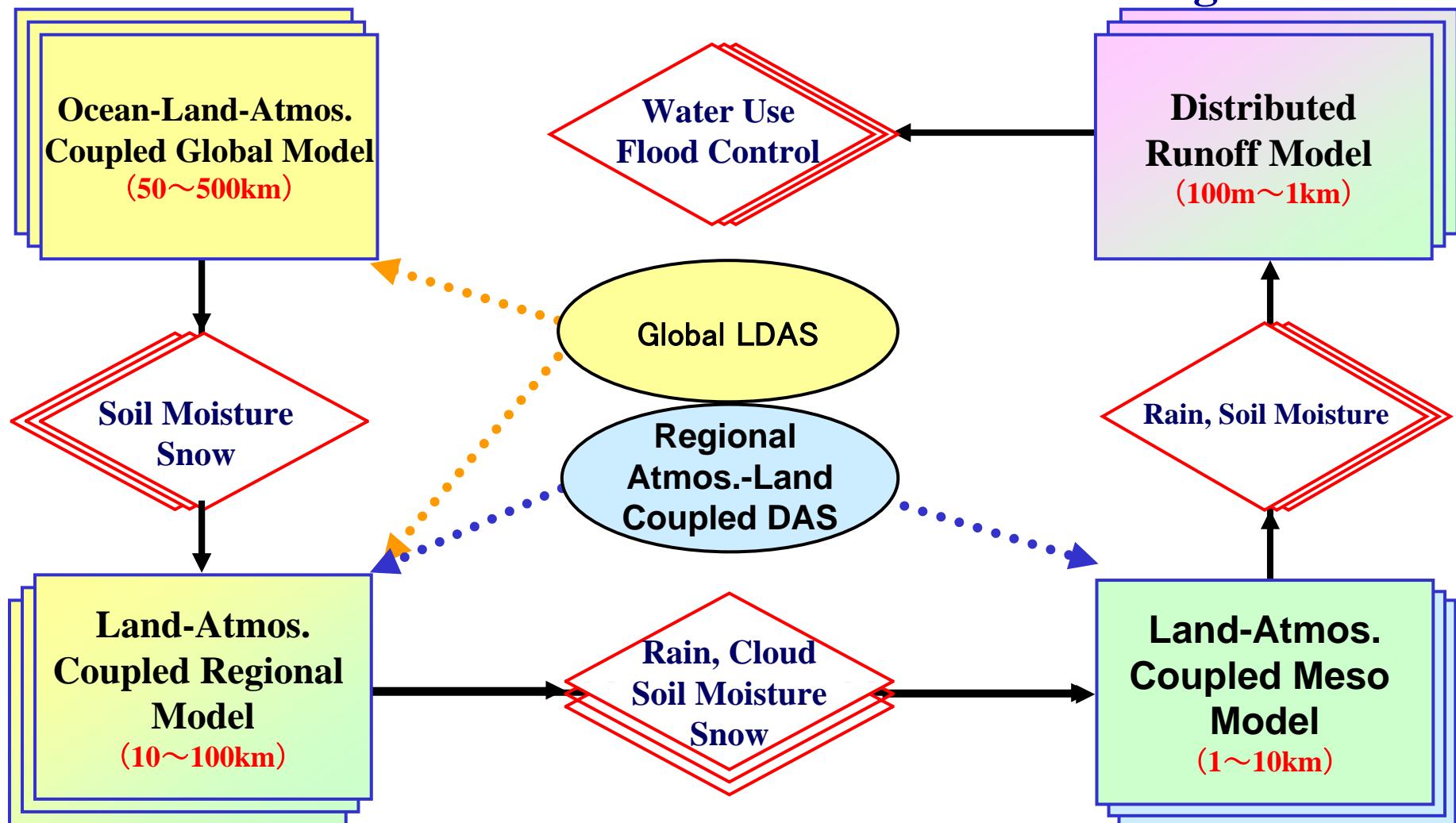
# Atmosphere-Land Coupled Data Assimilation by Using Satellite Microwave Radiometers

**T. Koike, D. Kuria, H. Lu, S. Boussetta, C. R. Mirza, H. Tsutsui (UT)**  
**H. Fujii (JAXA)**  
**K. Yang (ITP/CAS)**  
**X. Li, R. Jin (CAREERI/CAS)**

**The 3rd WCRP International Conference on Reanalysis**  
**Tokyo, Jan. 28 – Feb. 1, 2008**

# Global $\leftrightarrow$ Regional-Meso $\leftrightarrow$ River Basin

## Satellite Data Assimilation and Down-scaling



Short Forecasting : Initial Condition

Long-term Forecasting: Boundary Condition

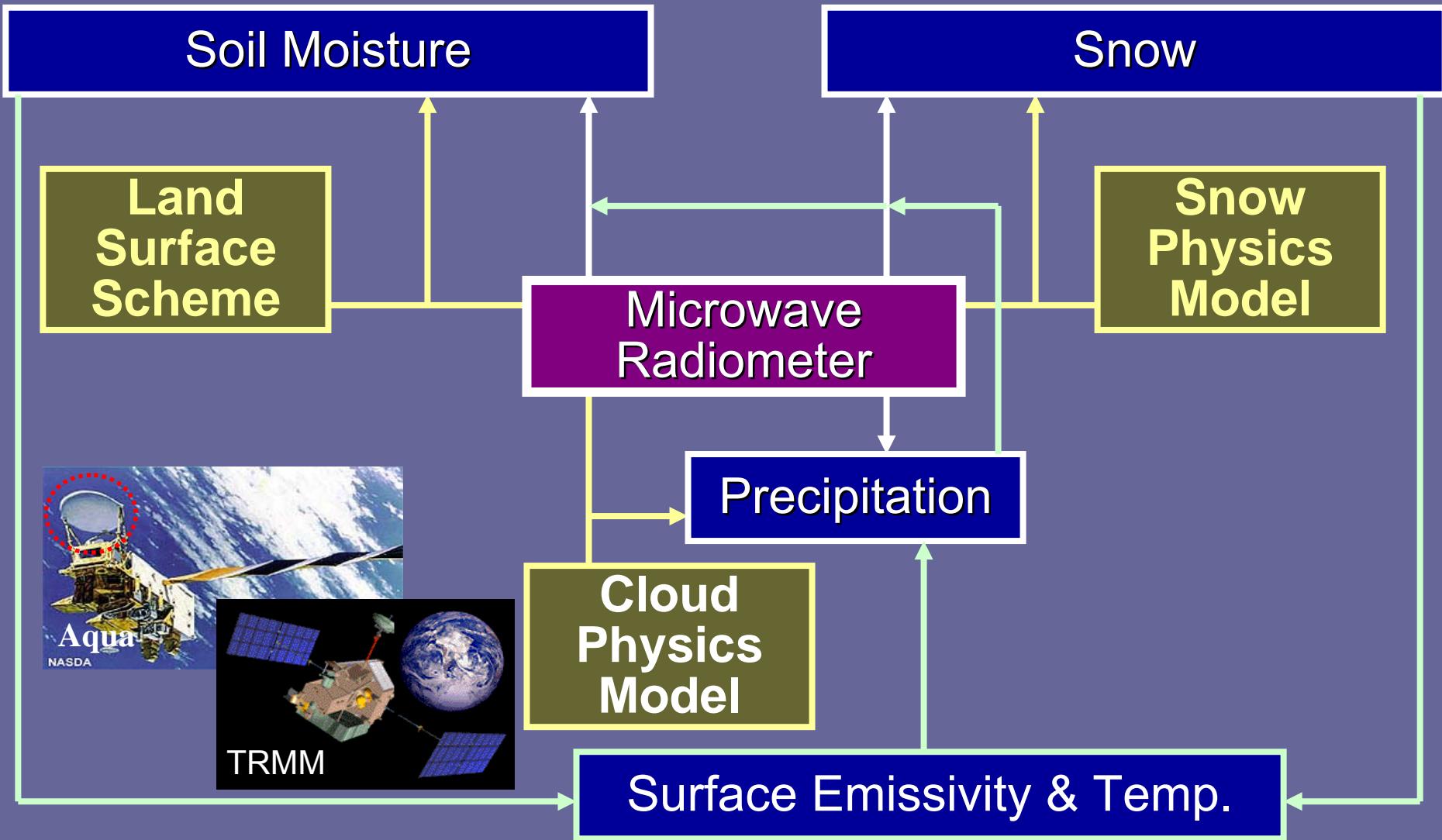
Satellite Data

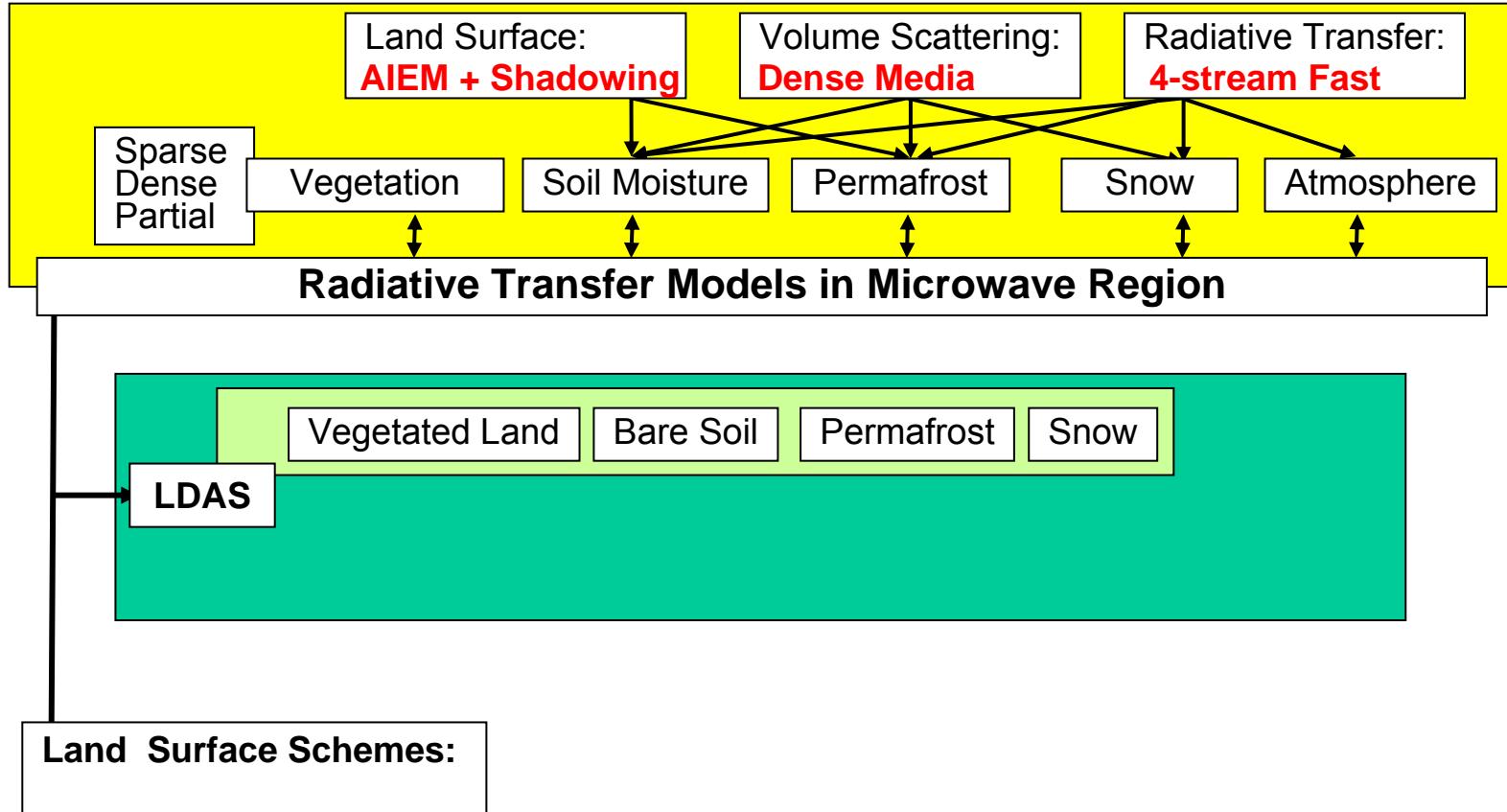
Low

Moderate

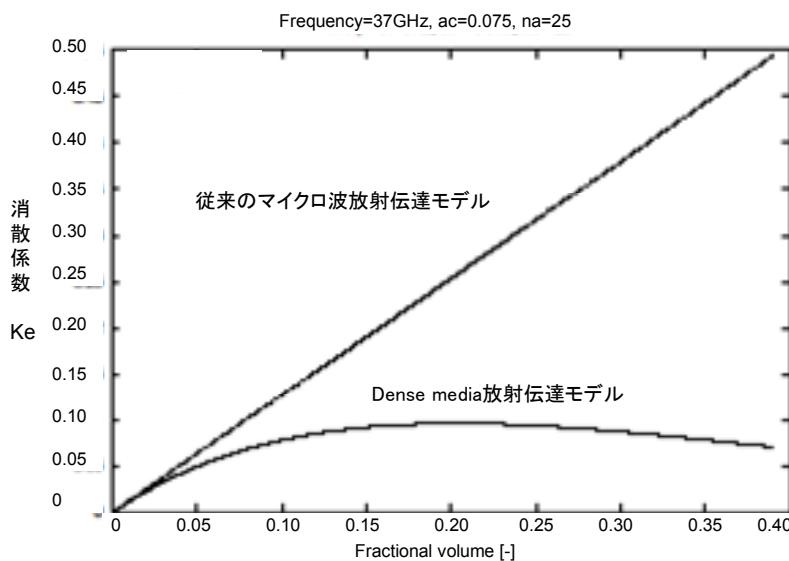
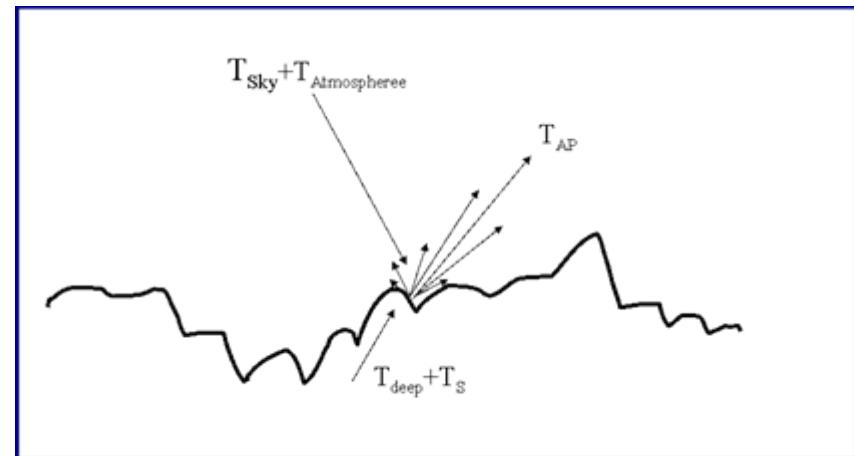
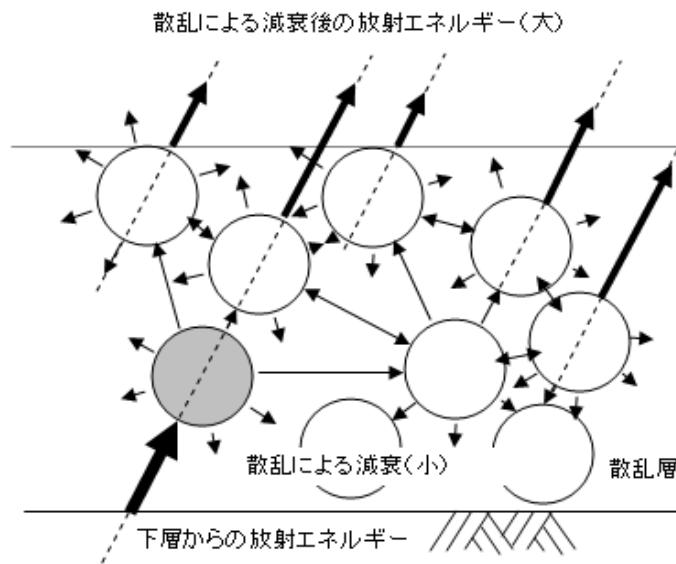
High

# Microwave Remote Sensing





# Application of DMRT & Surface Models to Soil



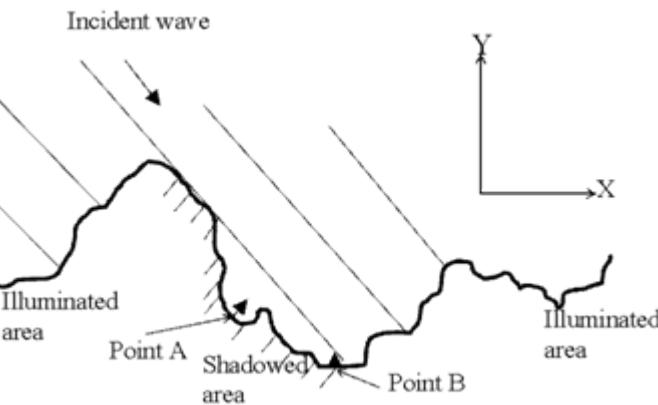
**Qh (Wang and Choudhury, 1981)**

**AIEM (Chen et al., 2003)**

**Qp (Shi et al., 2005)**

# Introduction of the Shadowing Effect

Sancer, 1969



$$S(\theta_s, \theta_i) = \begin{cases} \frac{1}{1 + \Lambda(\mu_s)} & \theta_s \geq \theta_i \\ \frac{1}{1 + \Lambda(\mu_i)} & \theta_s \leq \theta_i \\ \frac{1}{\Lambda(\mu_s) + \Lambda(\mu_i) + 1} & \text{otherwise} \end{cases}$$

where  $\mu = \cot \theta$

$$\Lambda(\mu) = \frac{1}{2} \left[ \sqrt{\frac{2}{\pi}} \frac{s}{\mu} e^{-\mu^2/2s^2} - \operatorname{erfc}\left(\frac{\mu}{\sqrt{2}s}\right) \right]$$

$$R_p^e = r_p \cdot \exp \left[ - (2 \cdot k \sigma \cdot \cos \theta)^2 \right] \cdot S(\theta, \theta)$$

$$+ \frac{1}{4\pi \cos \theta} \times \int_0^{2\pi} \int_0^{\pi} [\sigma_{pp}(\theta, \theta_j, \phi_j) \cdot S(\theta, \theta_j) + \sigma_{pq}(\theta, \theta_j, \phi_j) \cdot S(\theta, \theta_j)] \times \sin \theta_j \cdot d\theta_j \cdot d\phi_j$$

*AIEM using the Discrete Ordinate Method (DOM), 4-stream*

18.7/23.8/36.5/89GHz

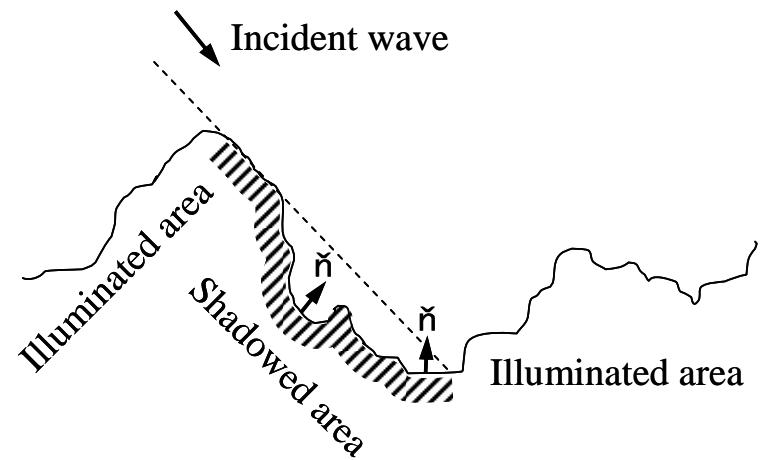
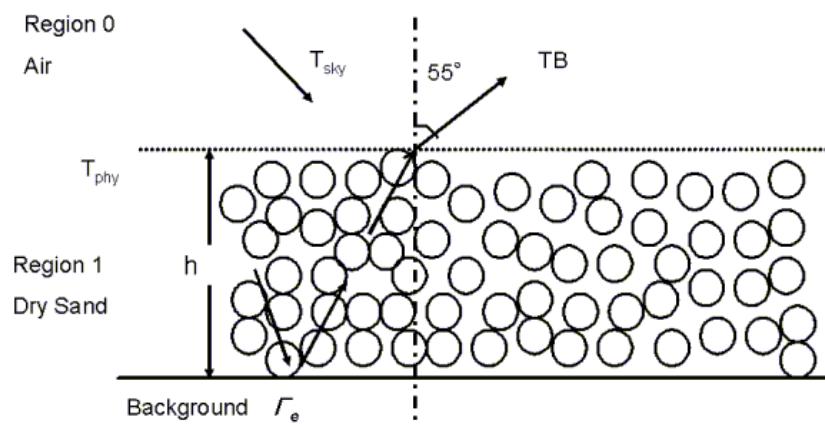


6.9/10.7/18.7GHz

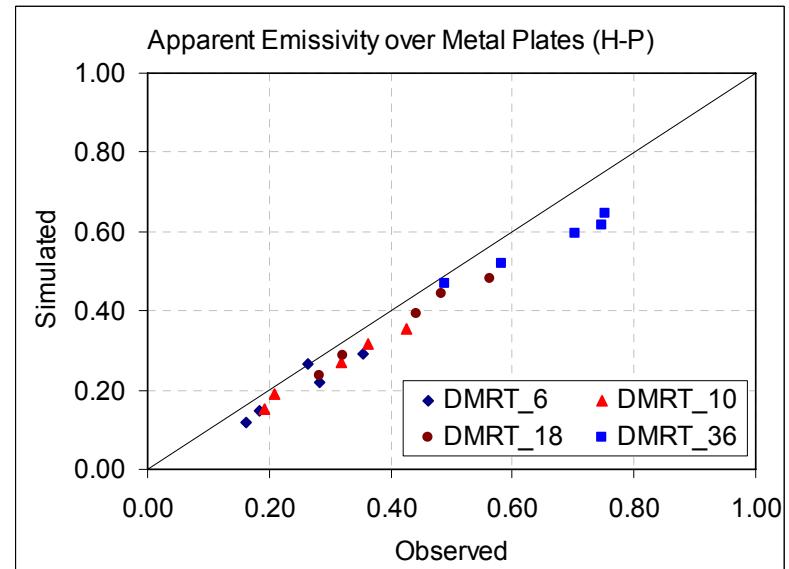
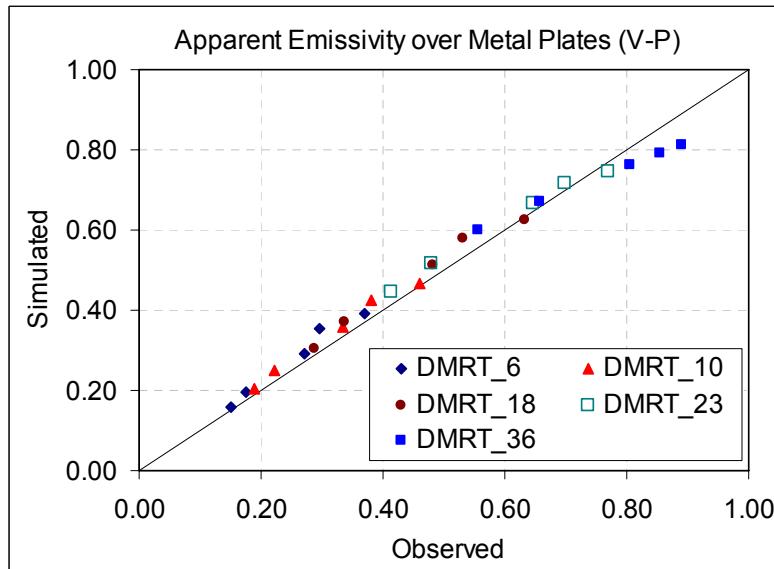
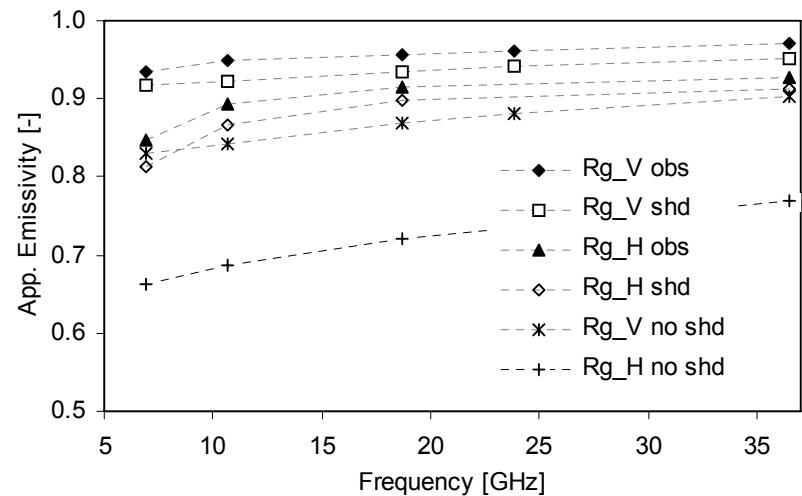
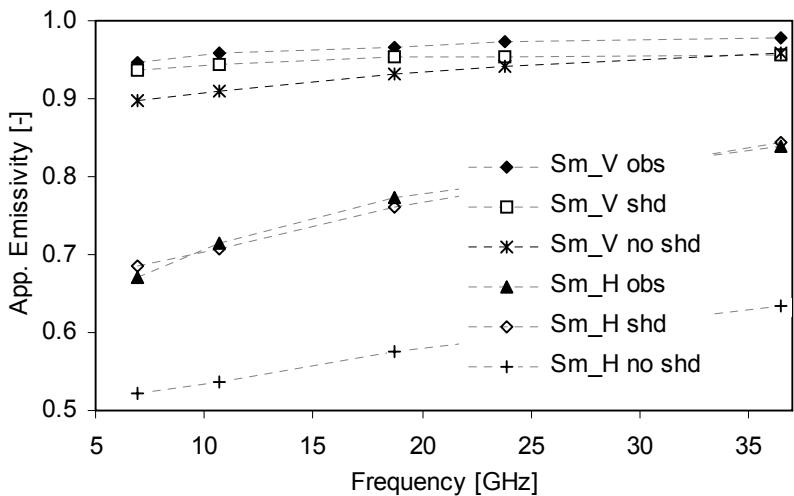


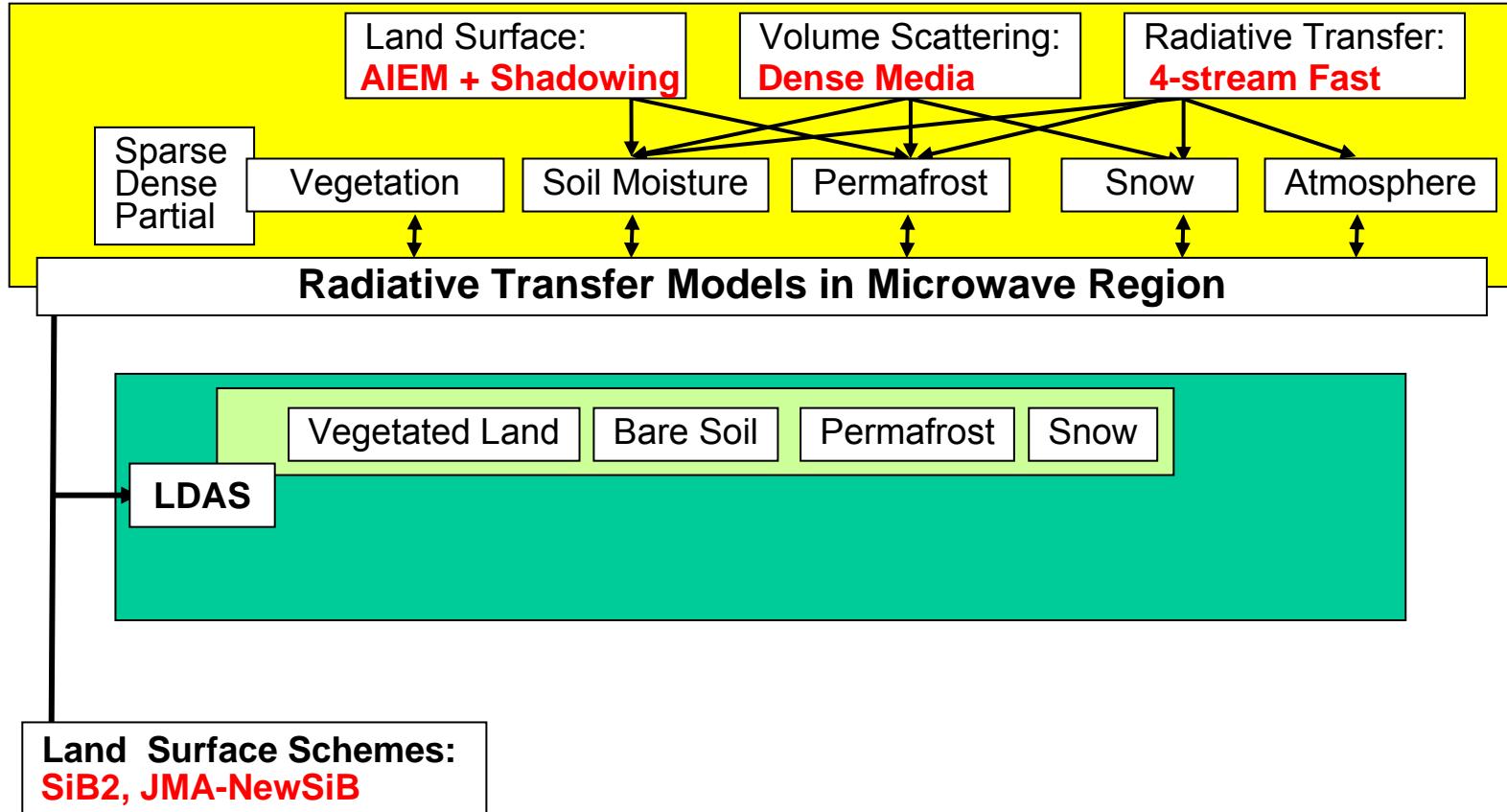


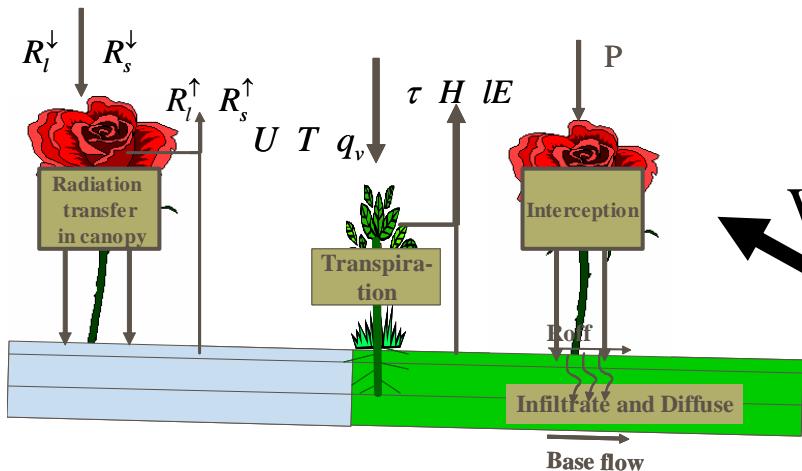
# GBHM Experiments



# DMRT + AIEM Model +Shadowing Effect: Validation in Tokyo







$W_{sfc}$

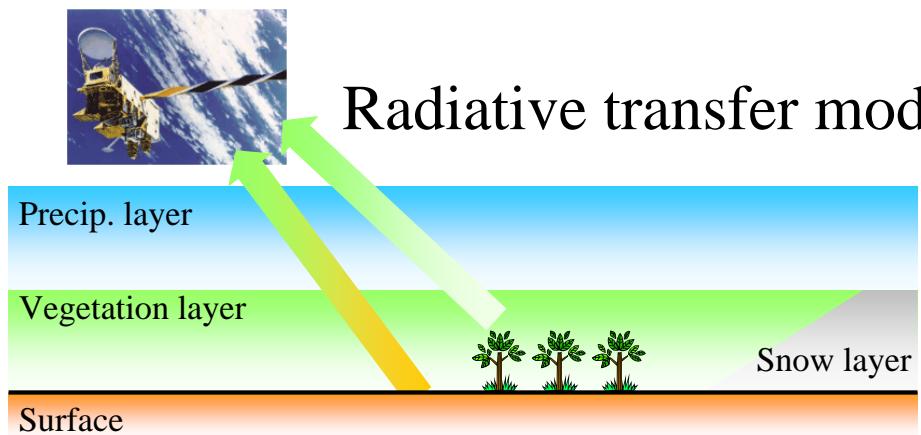
Min: cost function  
 $F(Tb_{obs} - Tb_{sim})$

Revised SiB2 / JMA new SiB

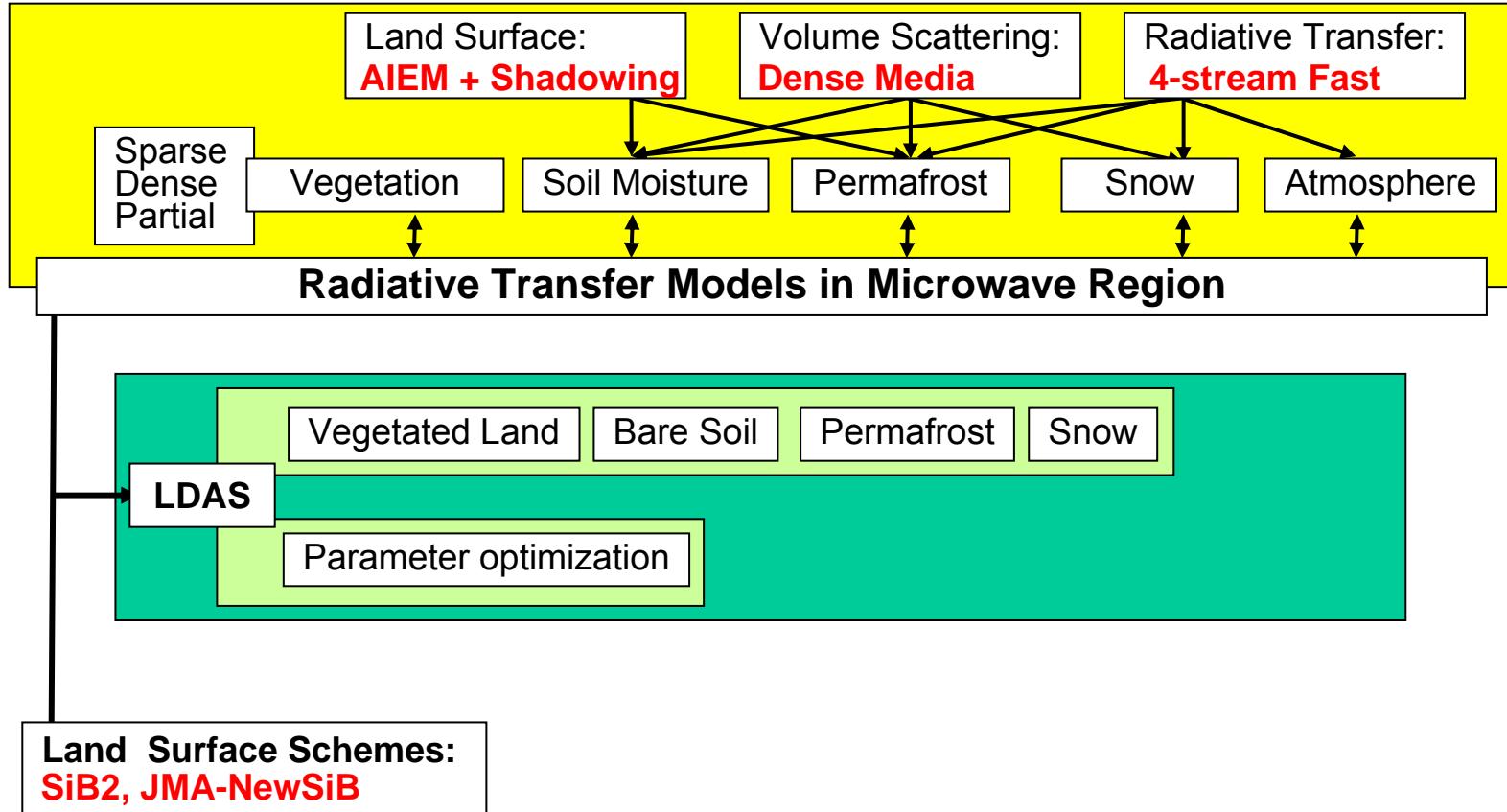
$T_g$ ,  $T_c$ ,  $W_{sfc}$

$$T_{bp} = T_g(1 - \Gamma_p) \exp(-\tau_e) + T_c(1 - \omega)[1 - \exp(-\tau_e)][1 + \Gamma_p \exp(-\tau_e)],$$

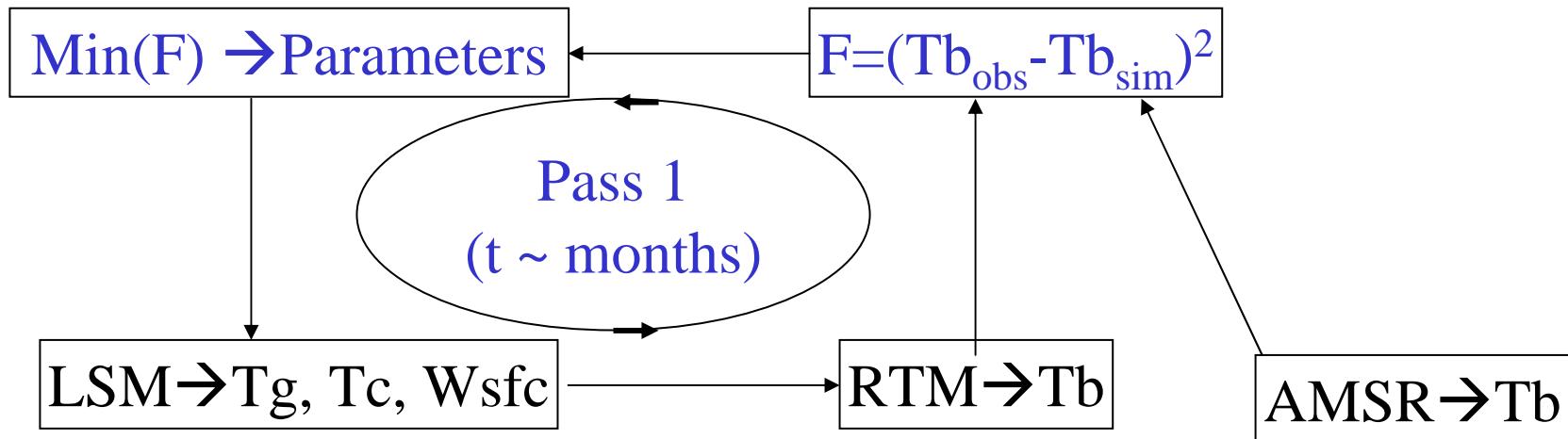
Surface radiation      Vegetation emission



Radiative transfer model

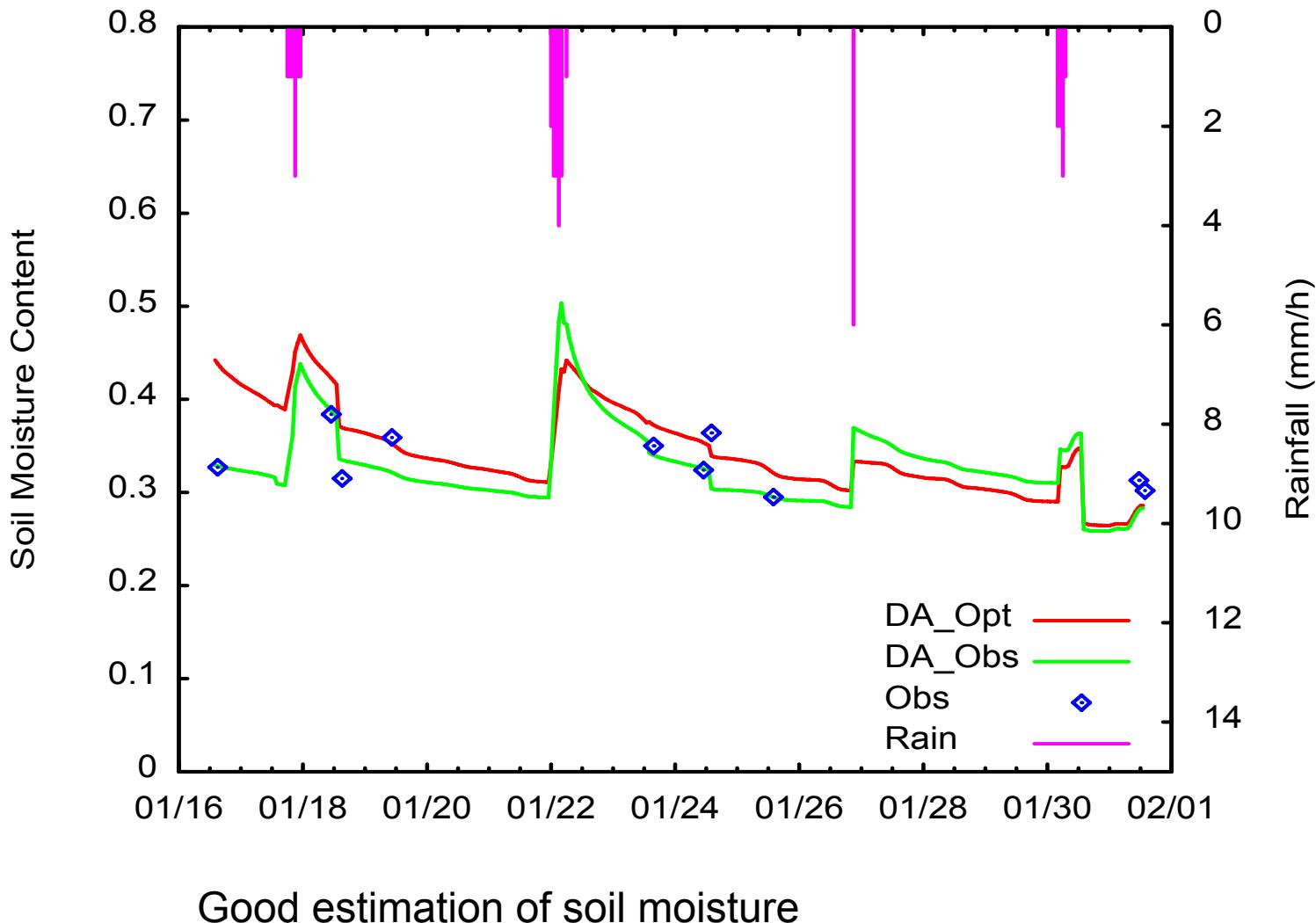


# Parameter Optimization



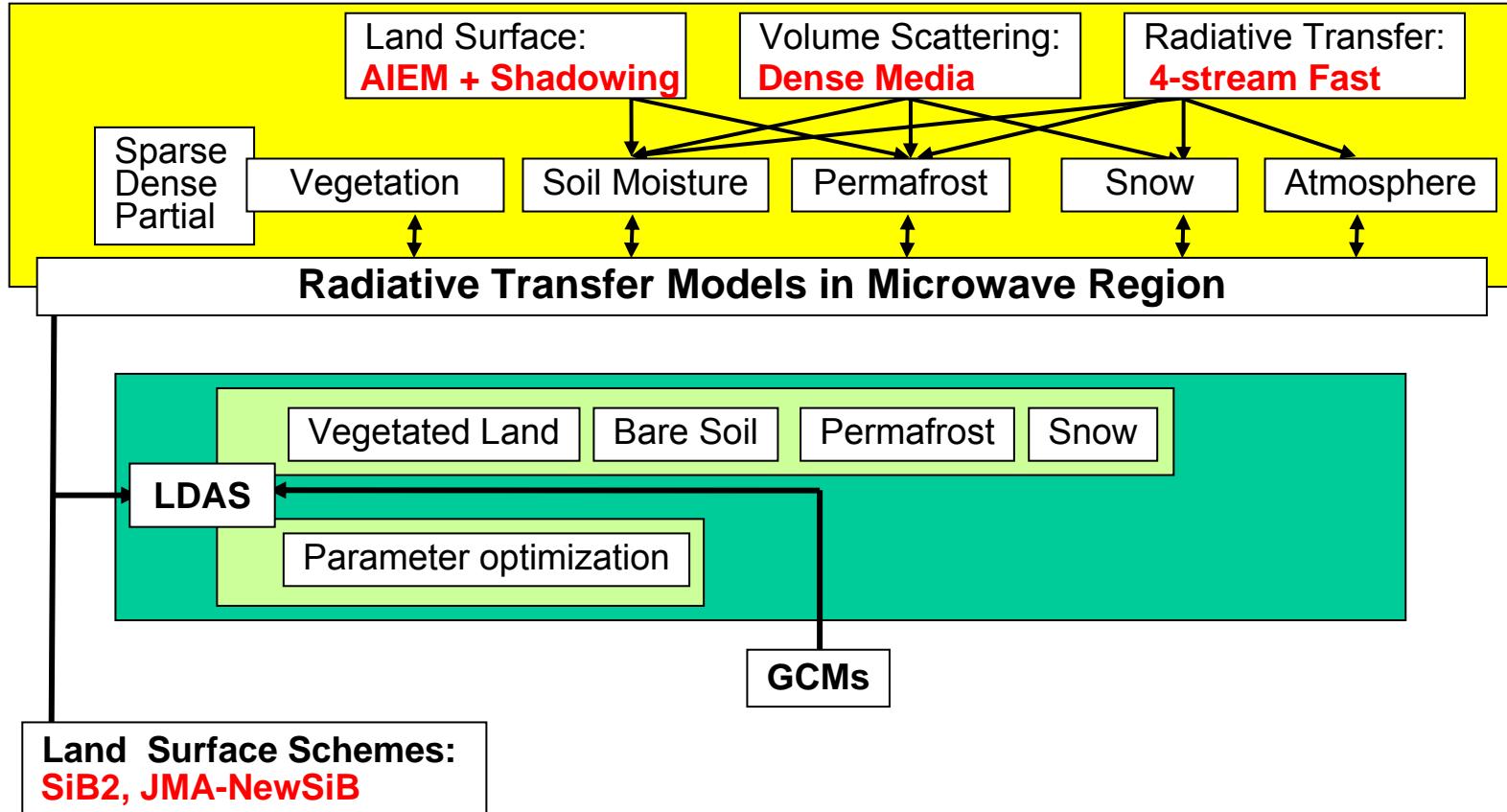
# Parameter Optimization in Tanashi

## Exp.-- without vegetation effect



# Optimized parameters in Mongolia

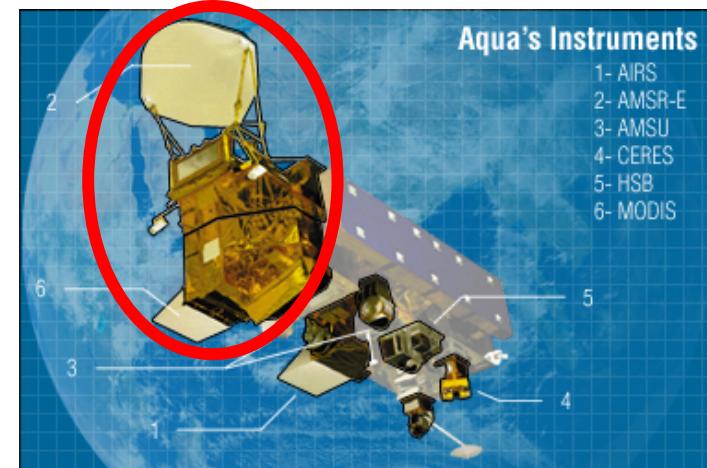
	A3	C2	E4	G6	H7	Used
sand(%)	46	40	42	46	39	60
clay(%)	18	20	20	20	19	20
bulk density (g/cm <sup>3</sup> )	1.40	1.52	1.51	1.46	1.53	1.258
rms h (cm)	0.38	0.40	0.25	0.25	0.20	0.34
Correlation l (cm)	0.44	0.49	0.33	0.33	0.34	0.72



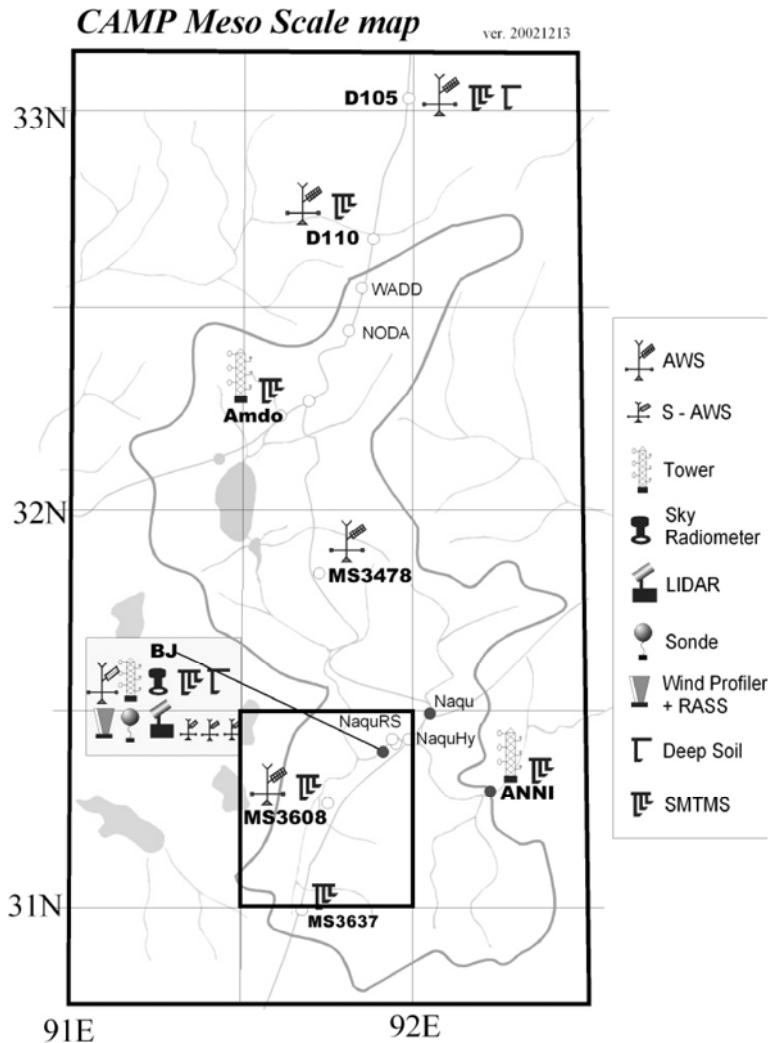
# Input Data → High Applicability in Any Region

- LDAS-UT grid size: 0.5 degree
- Forcing
  - GPCP precipitation: 1 degree
  - ISCCP radiation: 2.5 degree
  - NCEP reanalysis: 1.5 degree
- Leaf area index: MODIS
- Microwave Tb: AMSR-E

(Yang, Koike et al., 2007)



# First application: A case at CEOP Tibet site

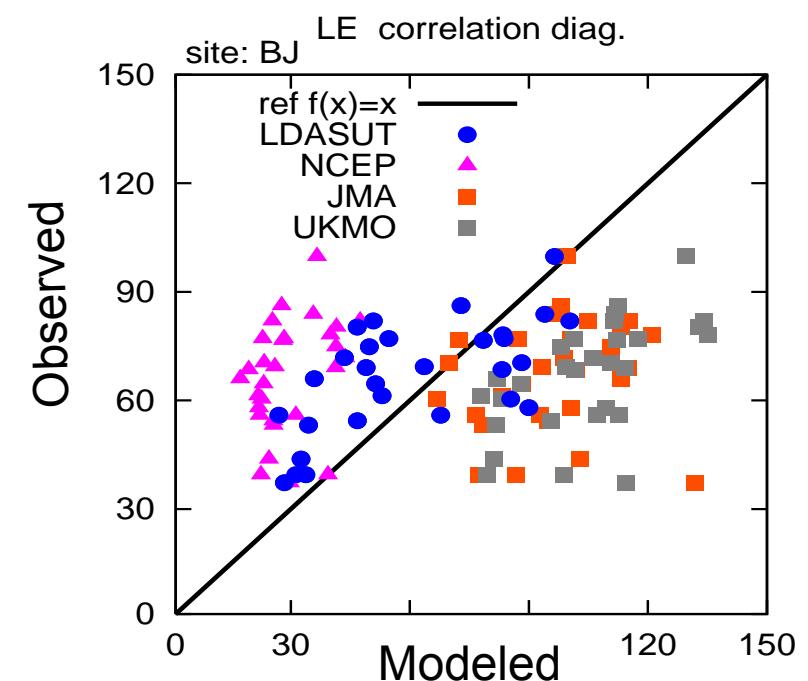


Items	Station (depth)
Precipitation	BJ
Radiation	BJ
Surface	BJ, MS3608
temperature	S-AWS1, S-AWS3
Near-surface	BJ, MS3608 (4cm)
soil moisture	S-AWS1, S-AWS3 (0-5 cm)
	SSMTMS (0-3 cm)
Turbulent fluxes	BJ (3m, 20m)

# LDASUT- GCMs

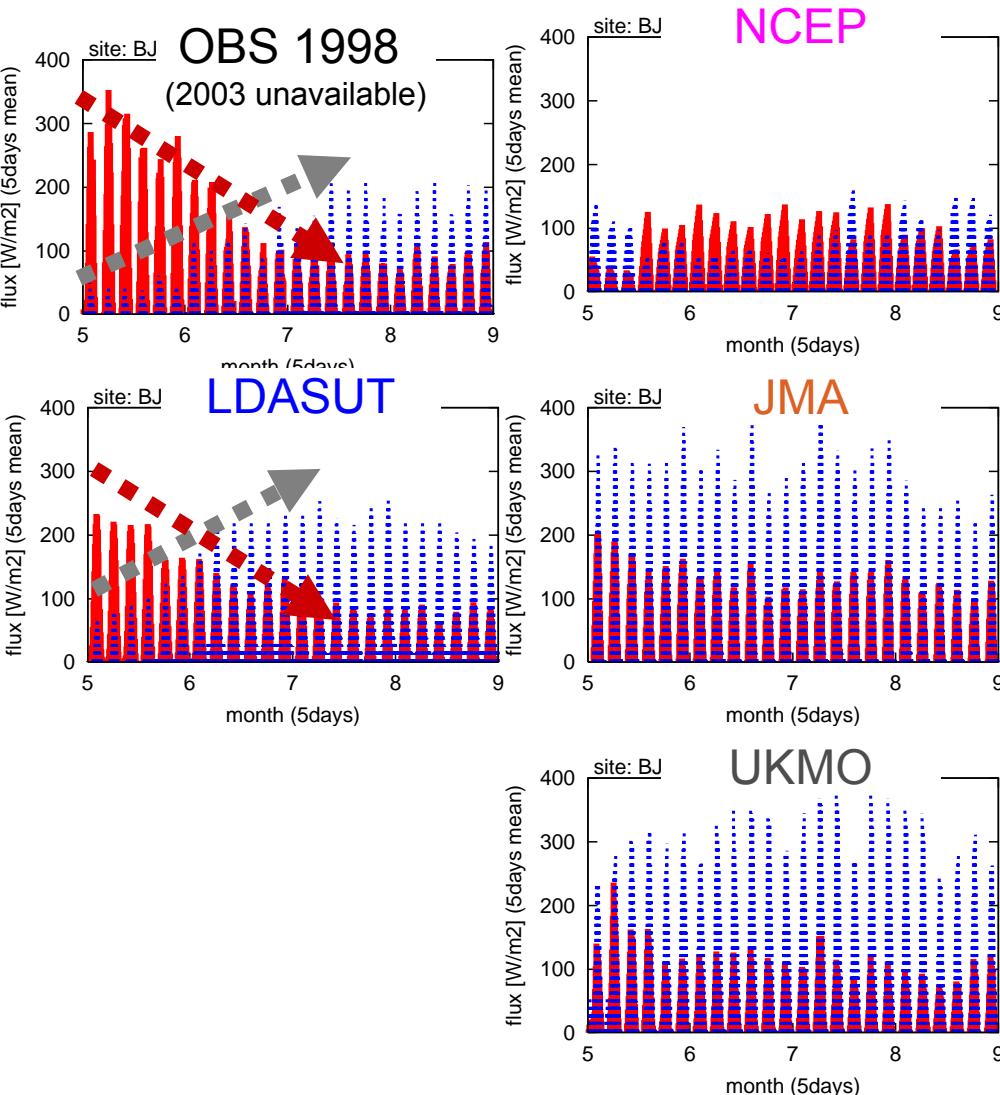
LE daily-mean ( June)

	H RMSE [W/m <sup>2</sup> ]	LE RMSE [W/m <sup>2</sup> ]
<b>LDASUT</b>	<b>32.0</b>	<b>42.5</b>
<b>NCEP</b>	<b>40.2</b>	<b>68.4</b>
<b>JMA</b>	<b>32.3</b>	<b>79.8</b>
<b>UKMO</b>	<b>35.3</b>	<b>80.1</b>



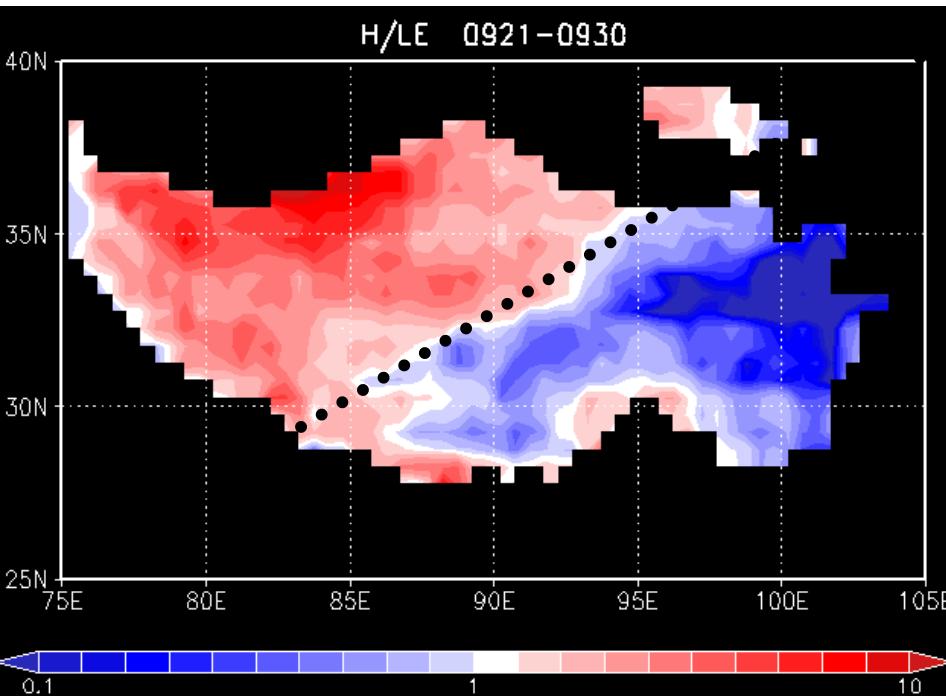
Seasonal variation  
(May - September)

Sensible(H) —  
Latent(LE) —

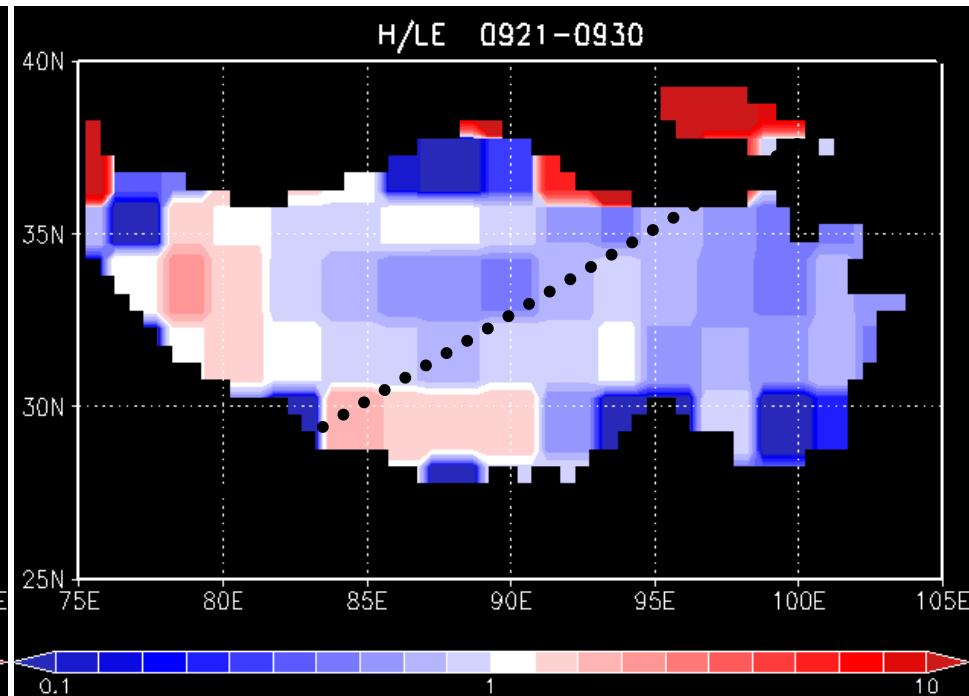


# Seasonality of distributed Bowen Ratio: Sensible Heat Flux/Latent Heat Flux

LDASUT

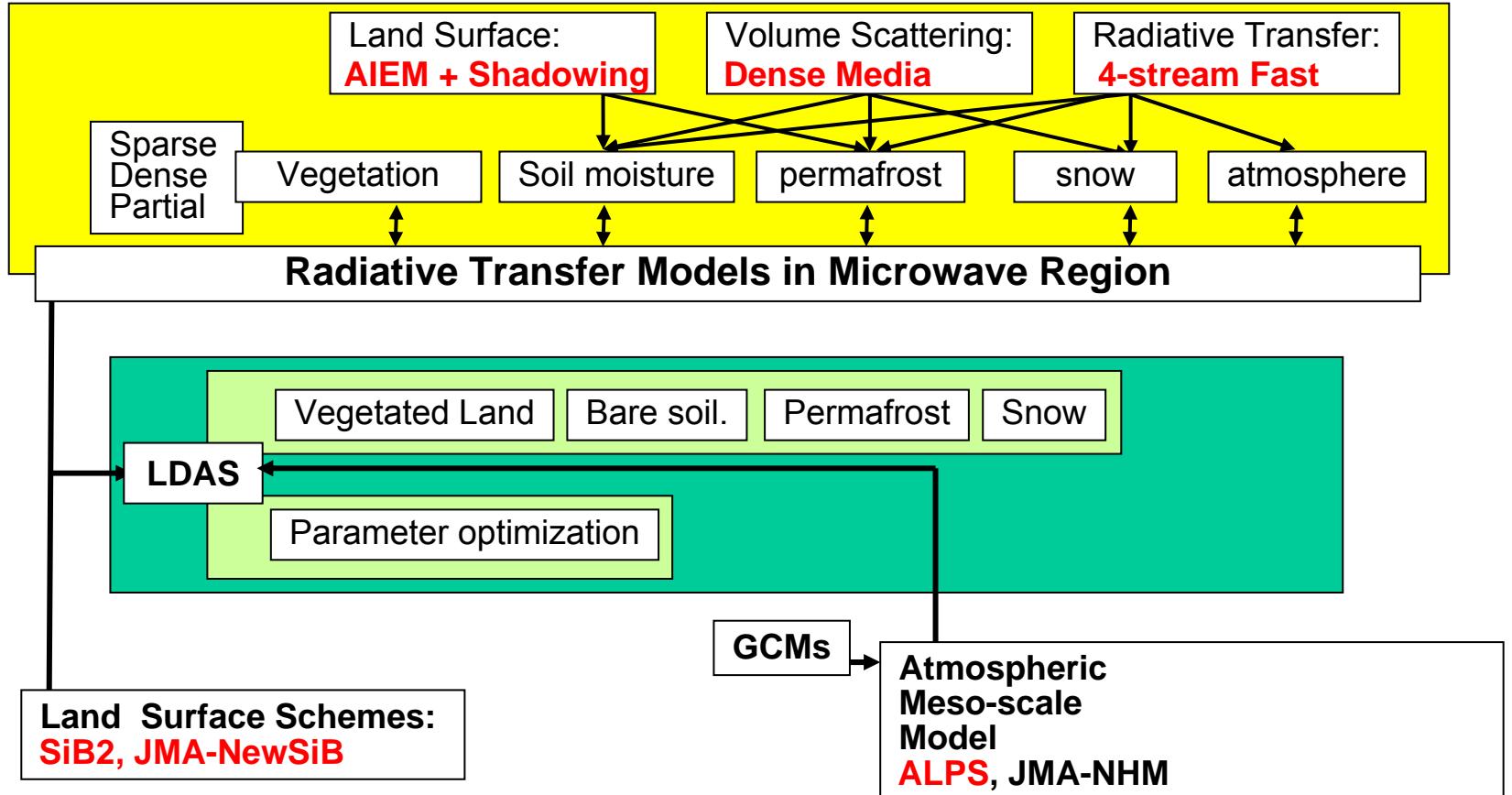


NCEP

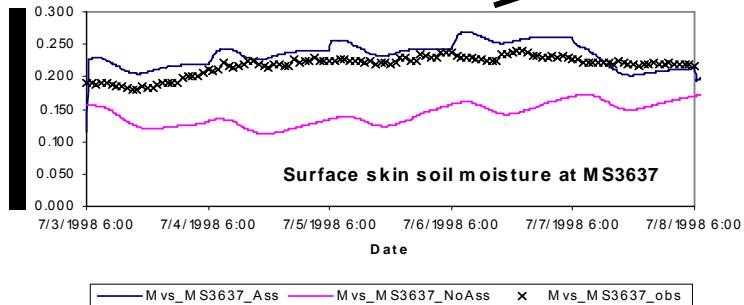
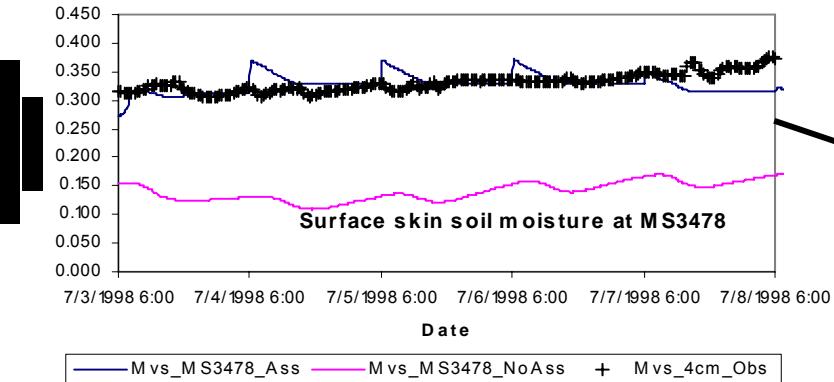


LDAS Seasonality: May~Mid June, H > LE; Mid June~Aug; LE>H

LDAS Regionality: H is dominant in N.W. TP, LE is dominant in S.E. TP

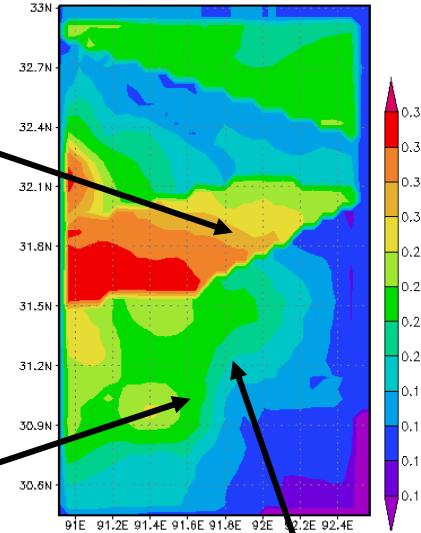


# soil moisture



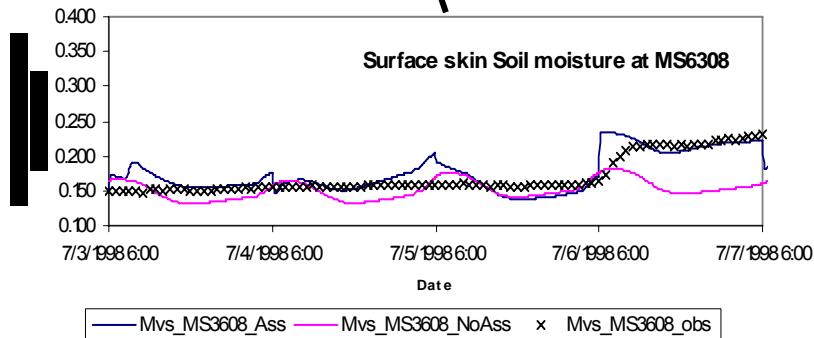
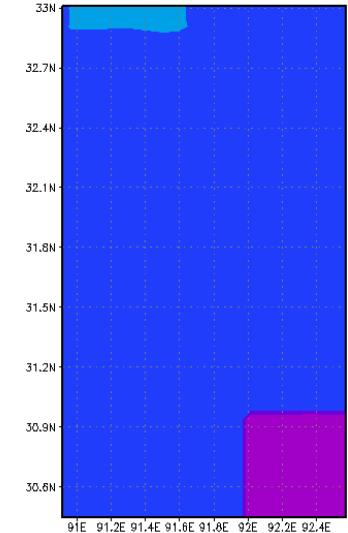
## Assimilation

Average Surface soil Moisture [m<sup>3</sup>/m<sup>3</sup>] at 12LT – Assimilation



## No Assimilation

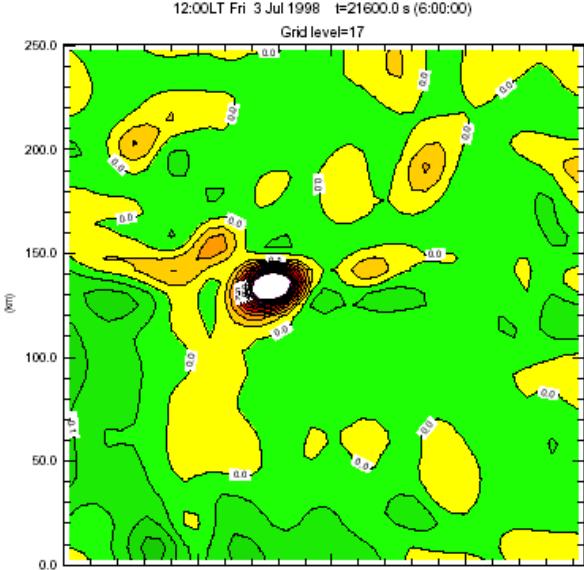
Average Surface soil Moisture [m<sup>3</sup>/m<sup>3</sup>] at 12LT – No Assimilation



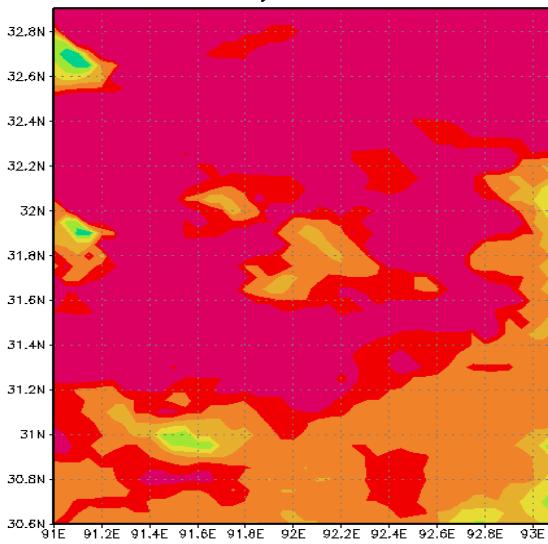
## No Assimilation case

3-D Run No Ldas case  
Vertical Wind

Boundary and initial atmospheric condition are from Game Reanalysis ver 1.0



GMS5 1c Convective Index  
1998 July 3 at t = 12LT



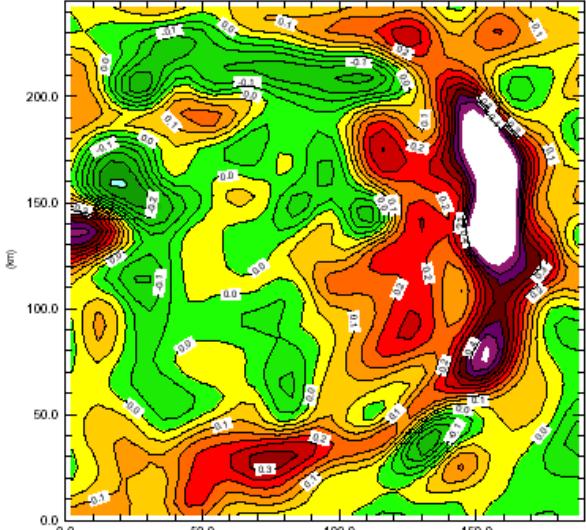
## Assimilation case

3-D Run Using a Variational LDAS scheme for soil moisture initialization  
Vertical wind

2005-1 Boundary and initial atmospheric condition are from Game Reanalysis ver 1.5

12:00LT Fri 3 Jul 1998 I=21600.0 s (6:00:00)

Grid level=17



Contour

Boussetta & Koike, 2007

Vertical Wind field  
Min=-.309 Max=0.944 Inc=0.500E-01

GMS IR1-based  
convective Index

L-A DAS

Boussetta & Koike, 2005

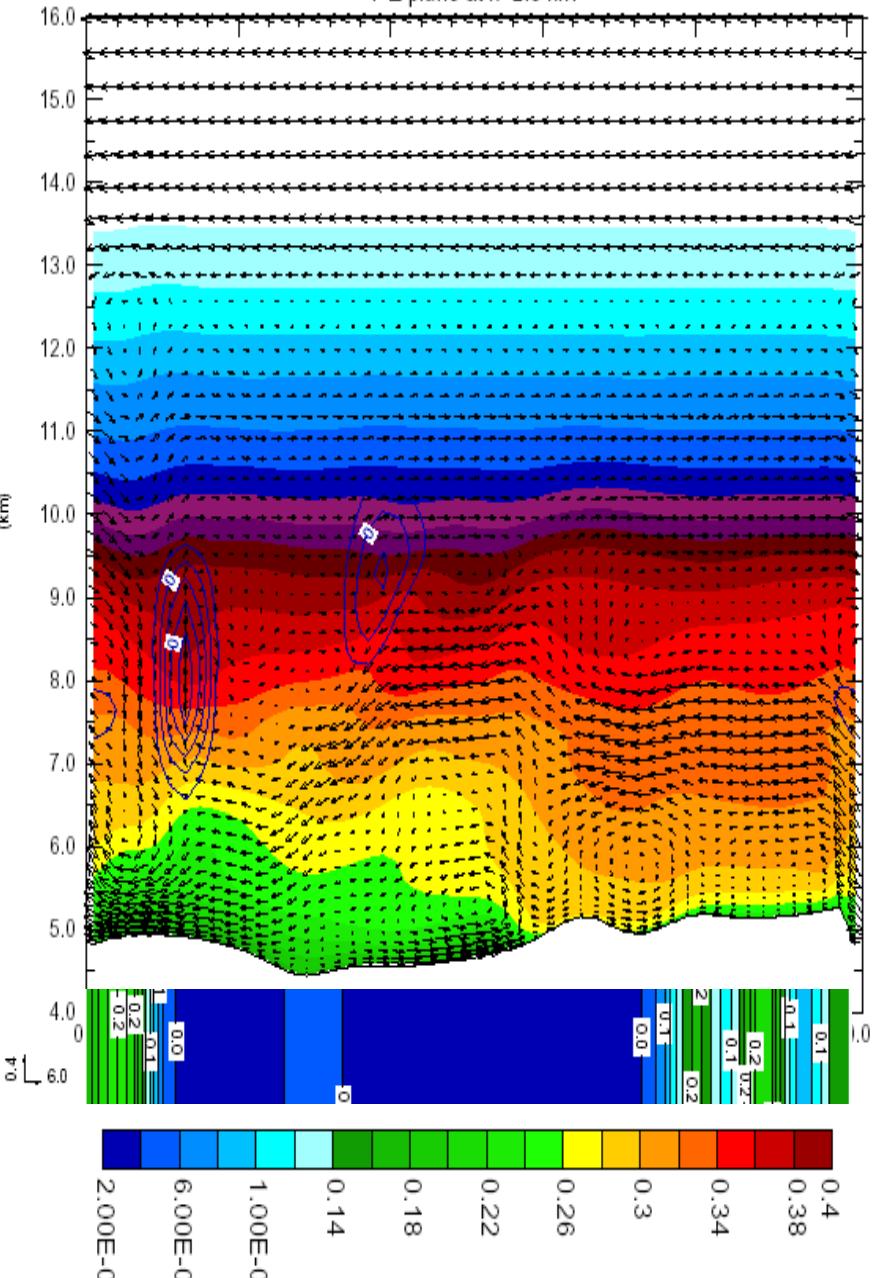
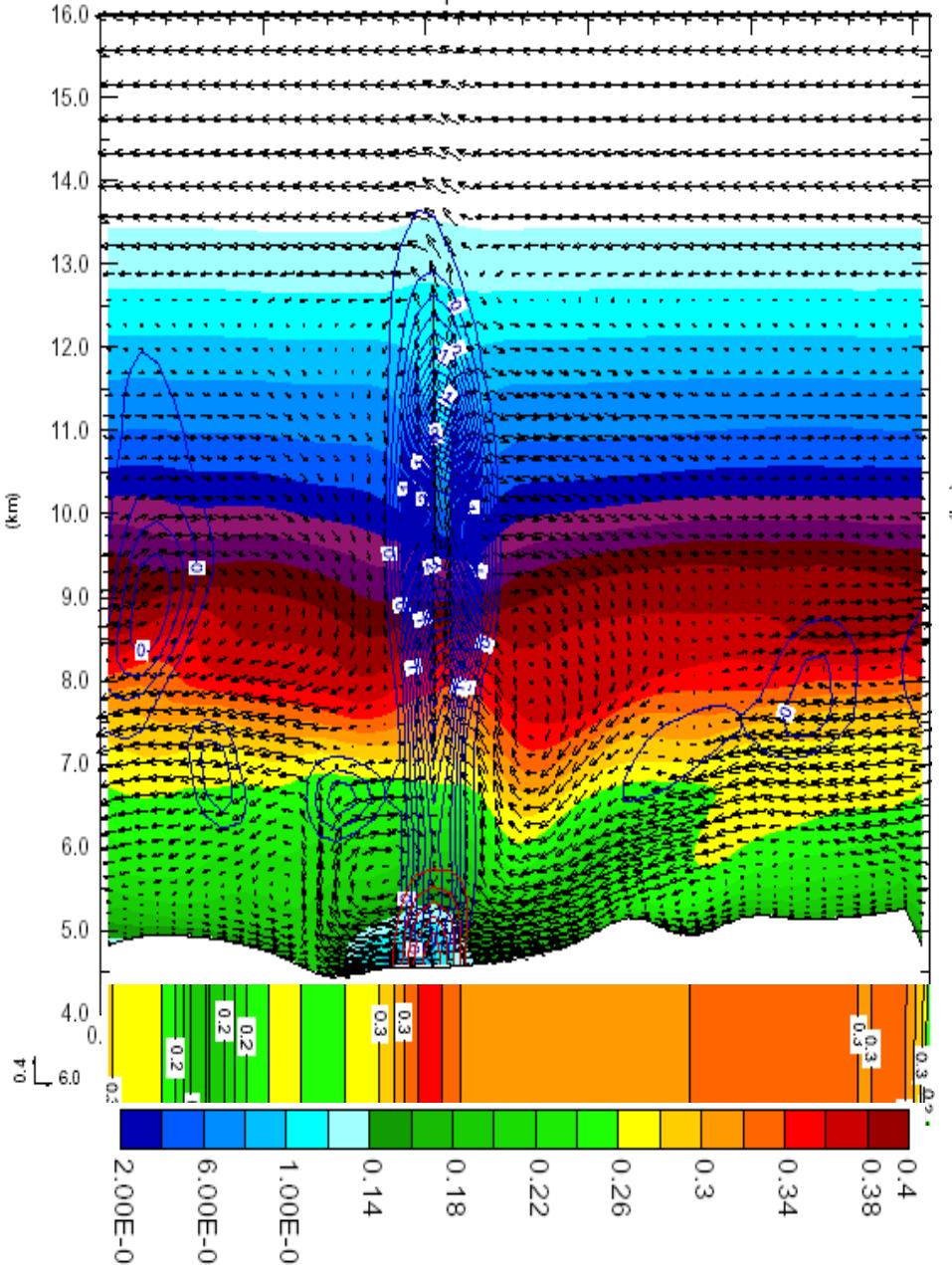
Only Regional Model

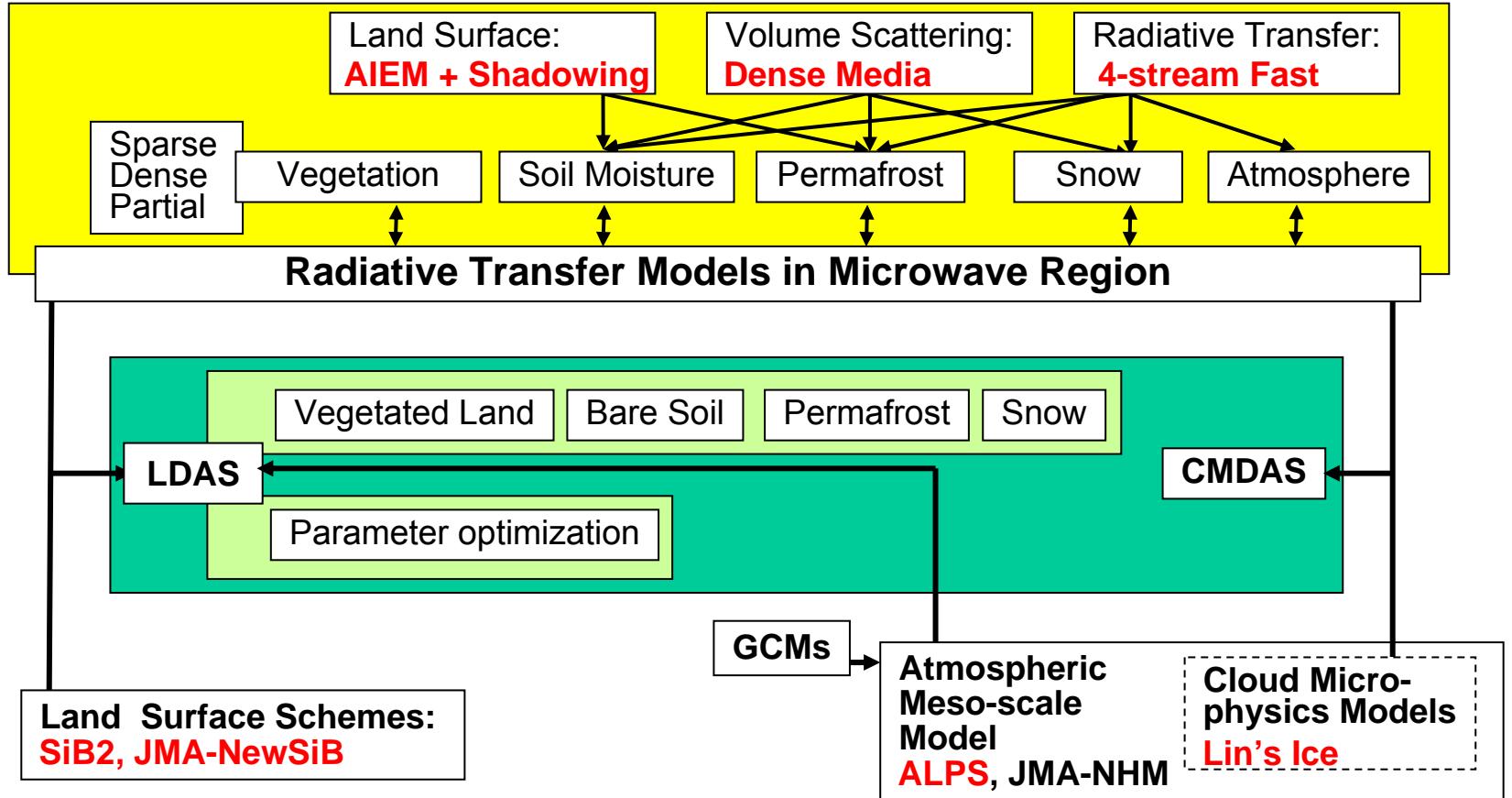
20:00LT Thu 9 Jul 1998 t=396000.0 s (\*\*:00:00)

20:00LT Thu 9 Jul 1998 t=396000.0 s (\*\*:00:00)

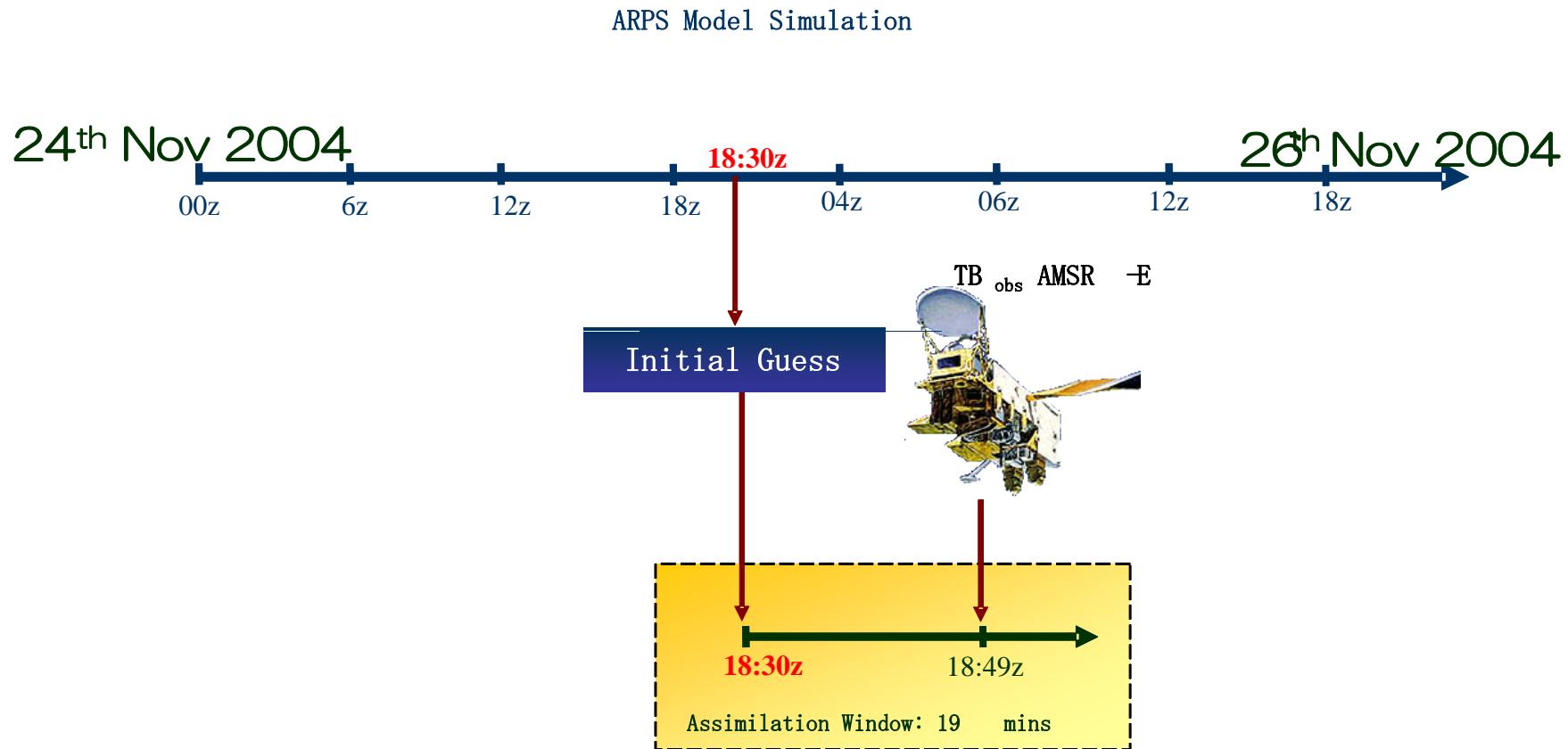
Y-Z plane at x=2.5 km

Y-Z plane at x=2.5 km



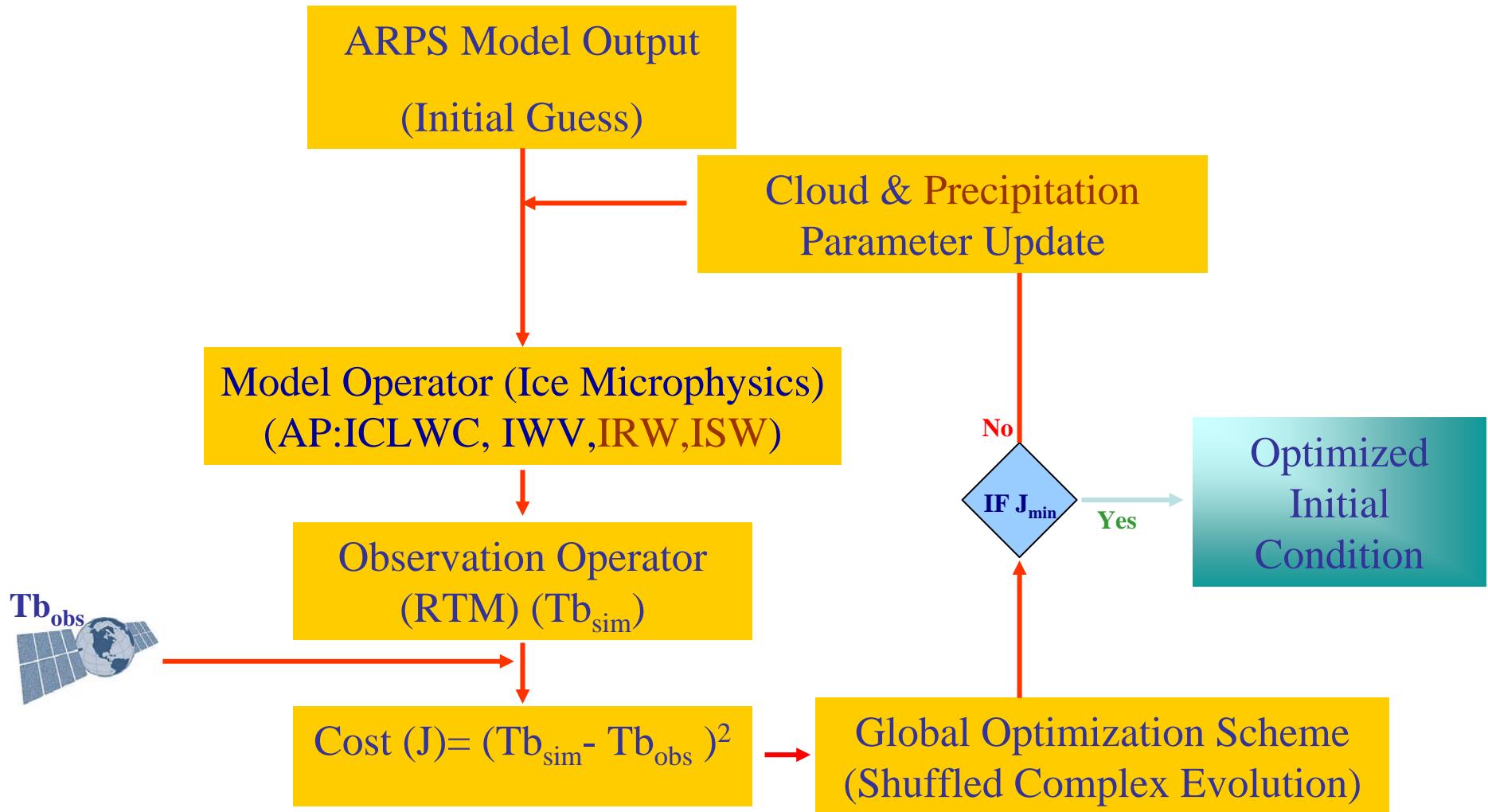


# Practical Approach

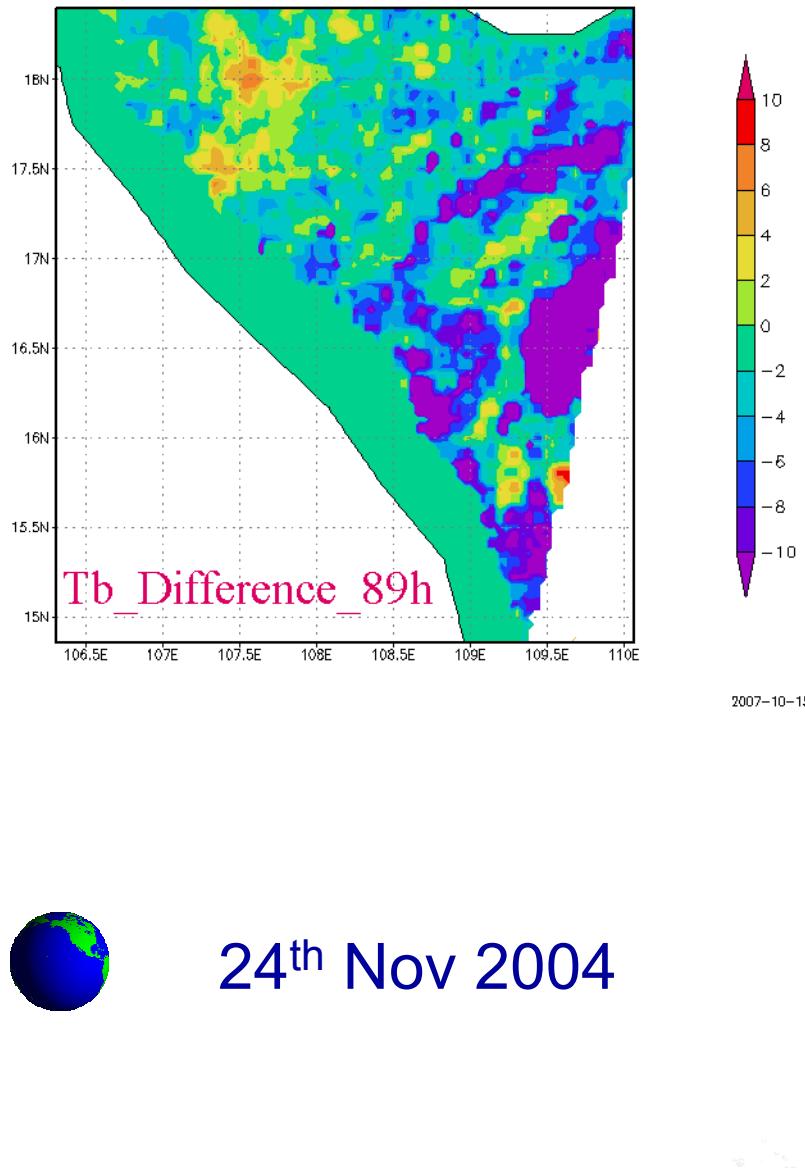
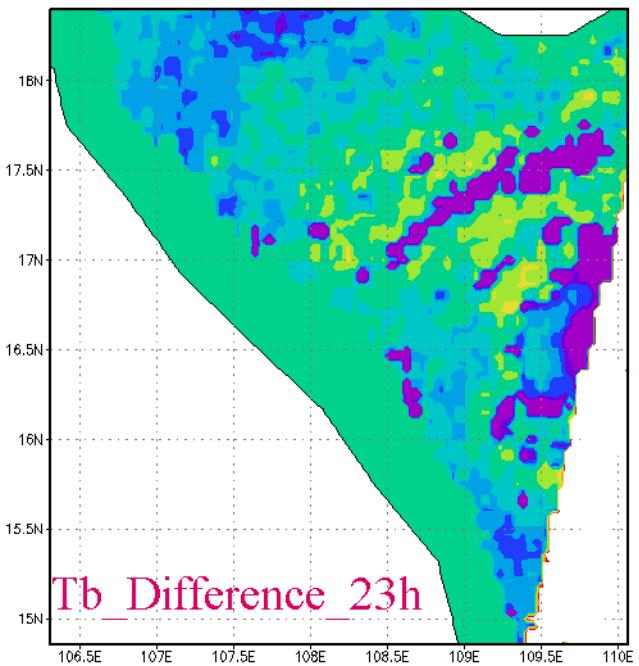


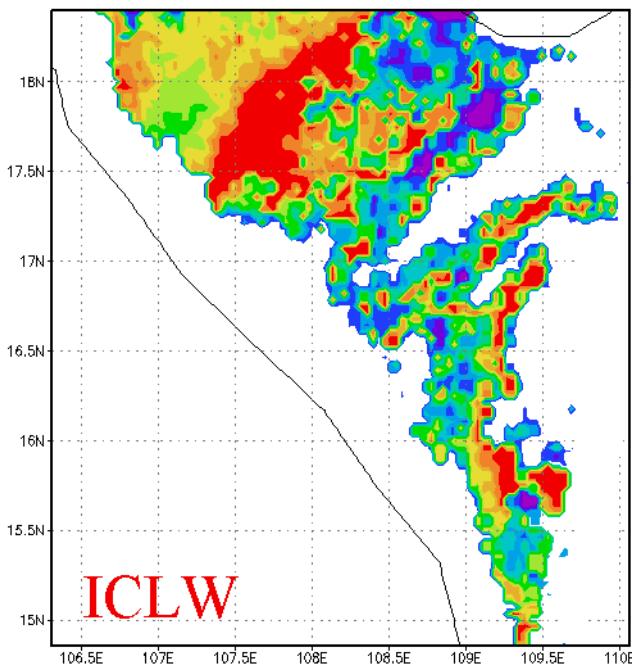
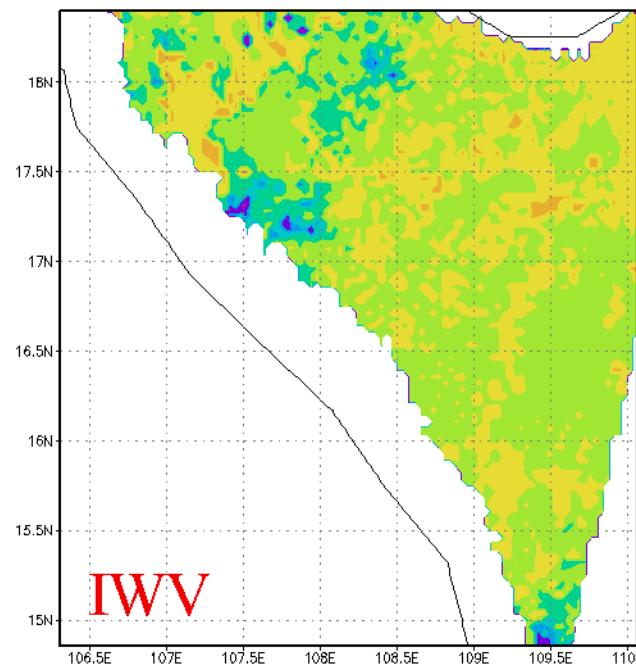
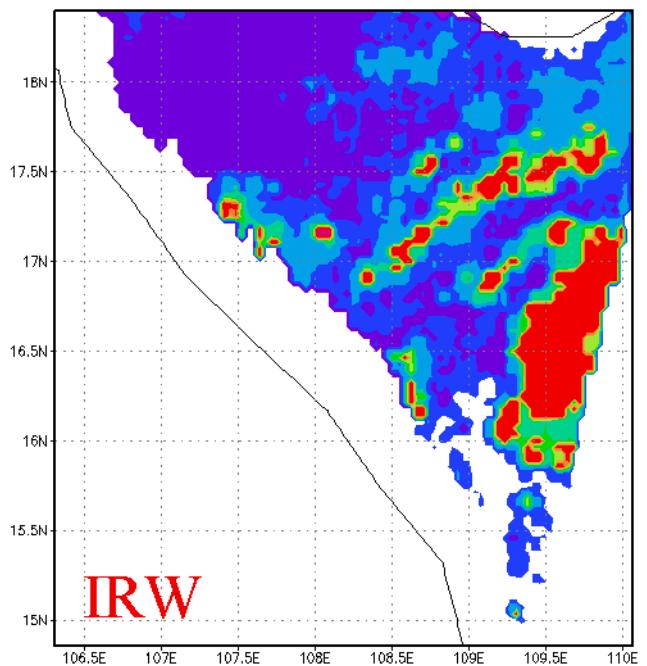
CMDAS

# CMDAS Framework

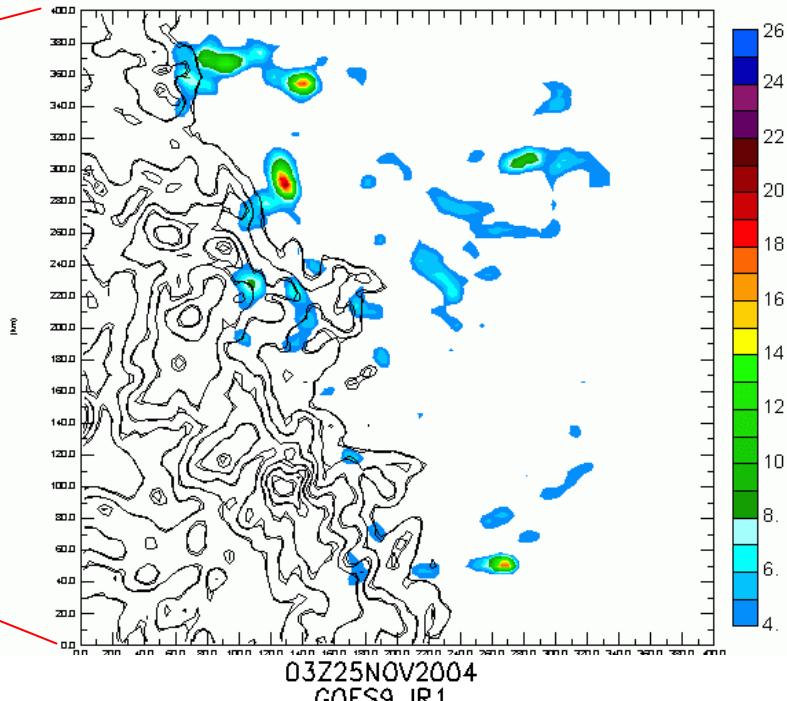
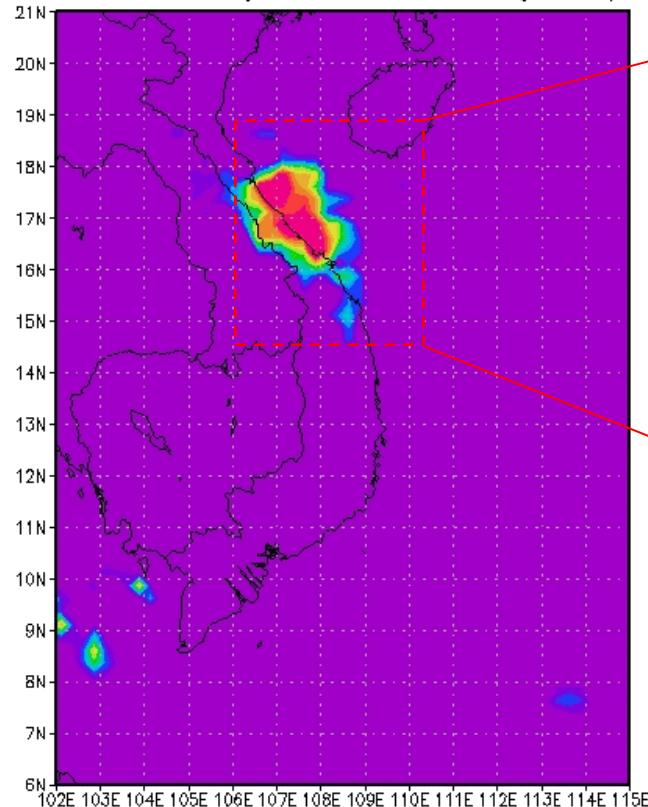


# CMD

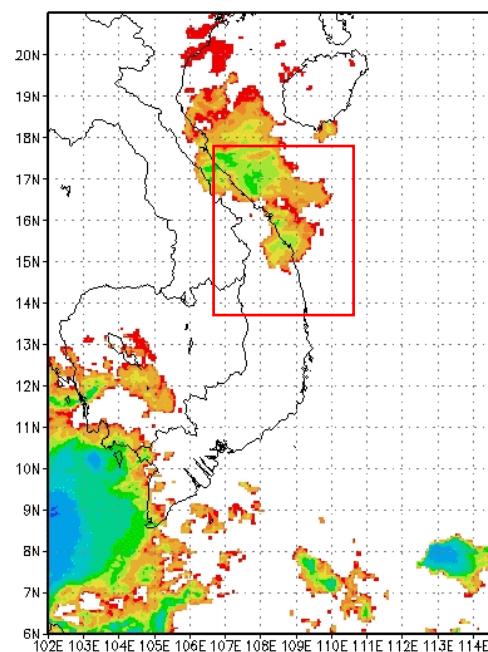




03Z25NOV2004  
Three Hourly TRMM Rainfall (3B42)

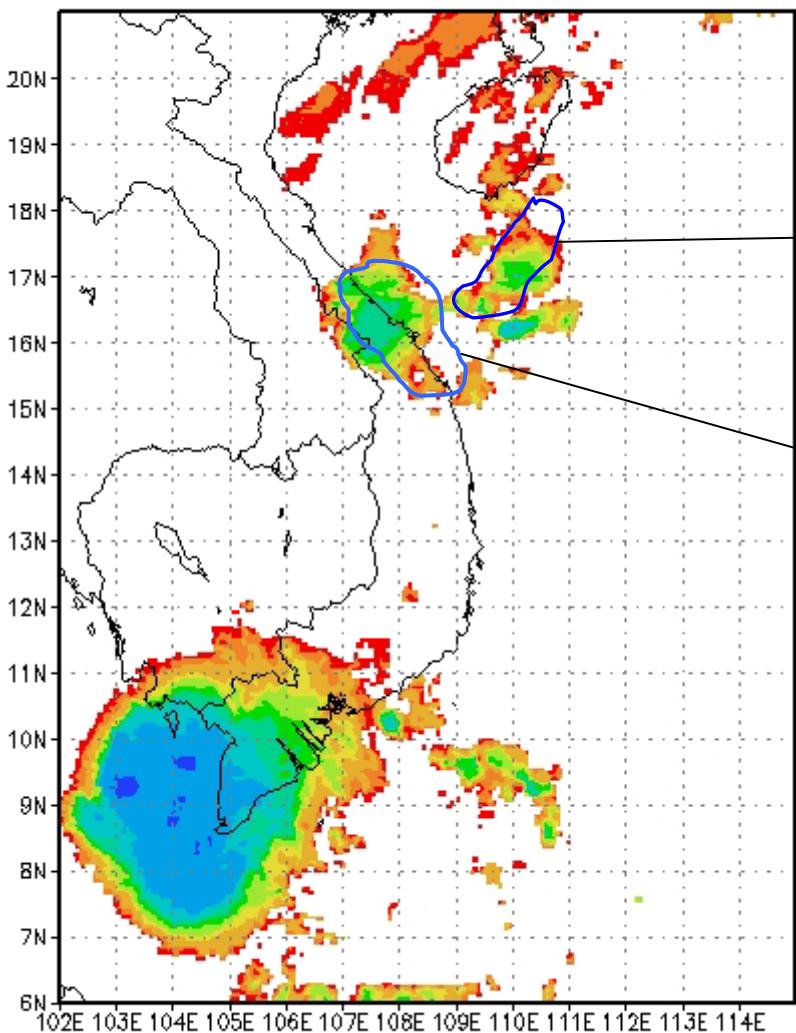


03Z25NOV2004  
GOES9 IR1

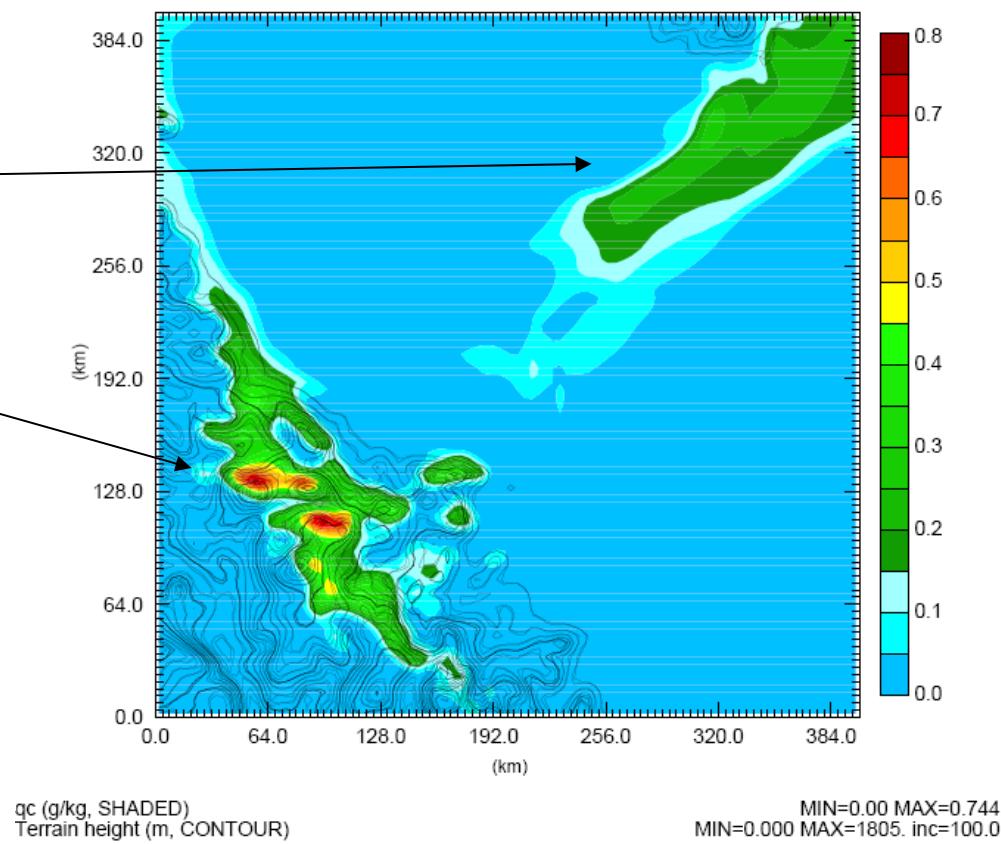


ARPS Before CMDAS

18Z 24NOV2004  
GOES9 IR1

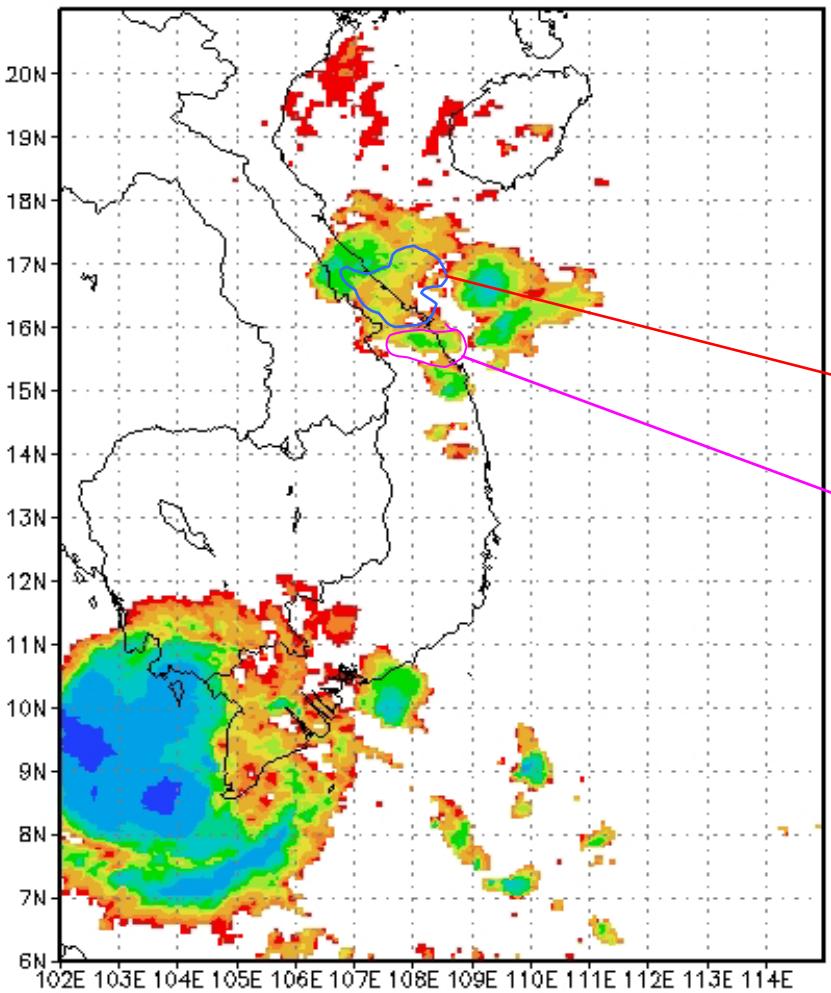


18:30z 24<sup>th</sup> Nov 2004 (ARPS)



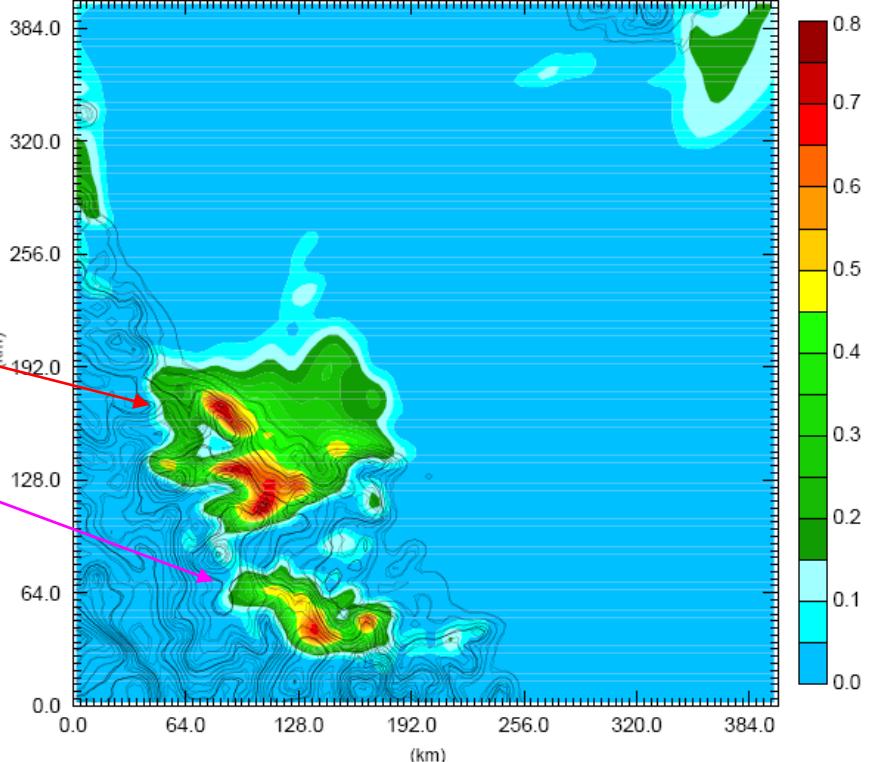
ARPS after CMDAS

21Z24NOV2004  
GOES9 IR1



250  
245  
240  
235  
230  
225  
220  
215  
210  
205  
200  
195  
190  
185

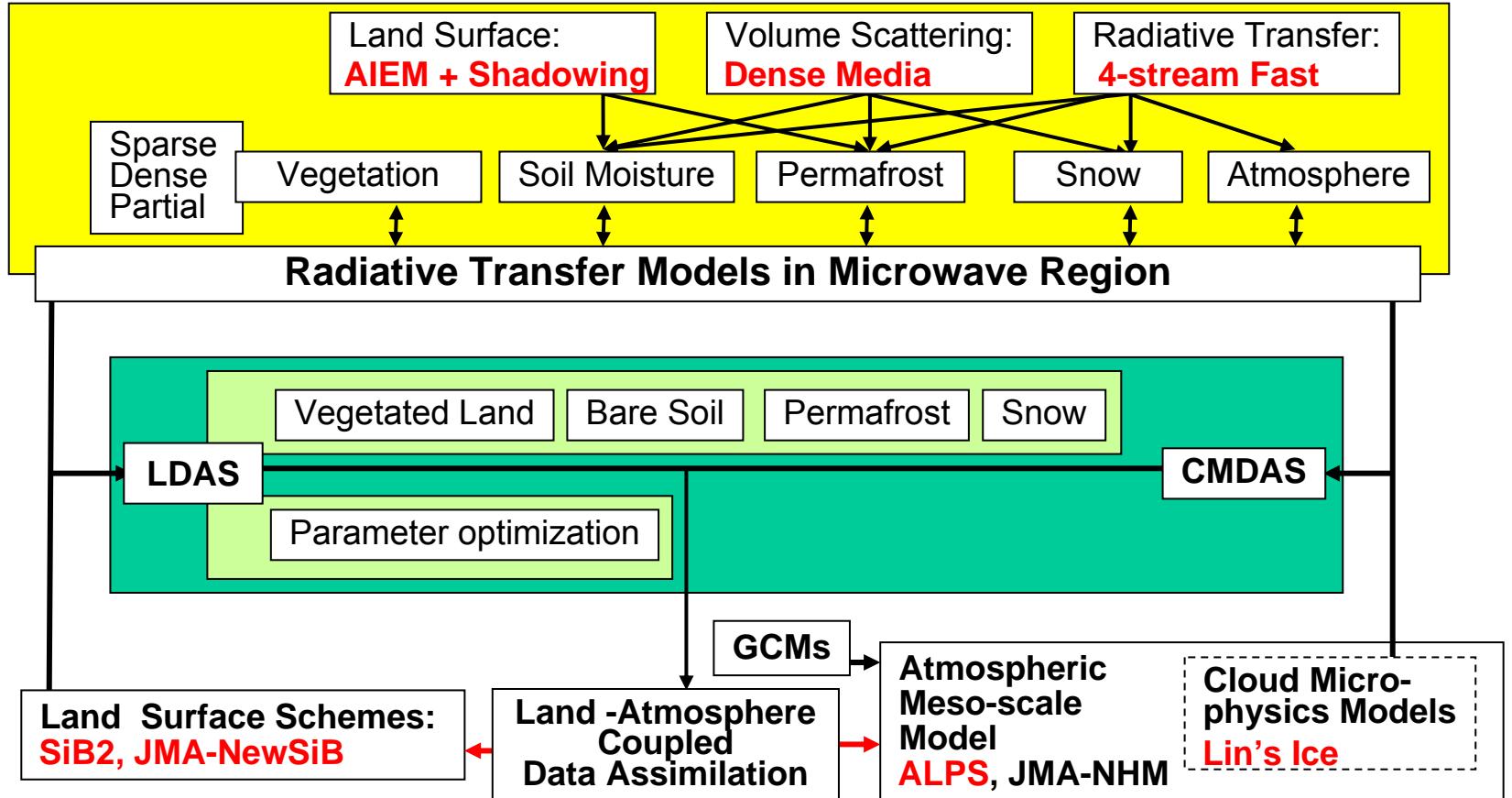
(g/kg, SHADED)  
rain height (m, CONTOUR)



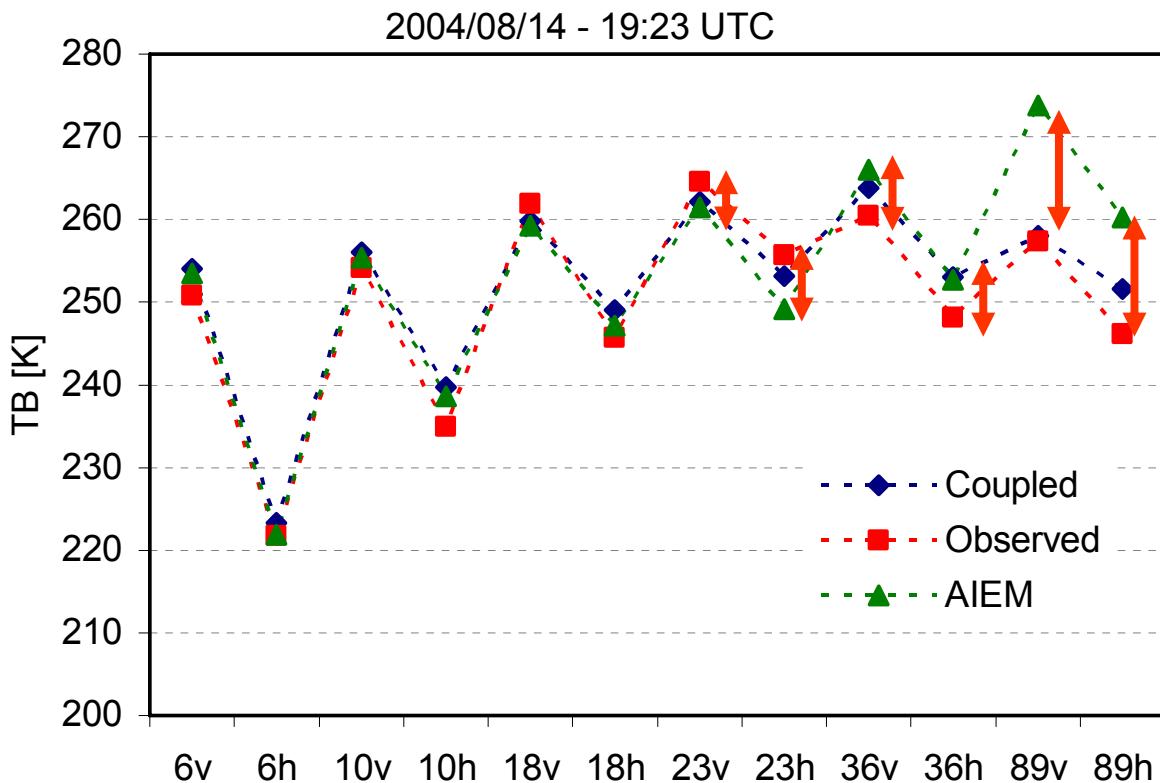
0.8  
0.7  
0.6  
0.5  
0.4  
0.3  
0.2  
0.1  
0.0

MIN=0.00 MAX=0.771  
MIN=0.000 MAX=1805. inc=100.0

ARPS after CMDAS

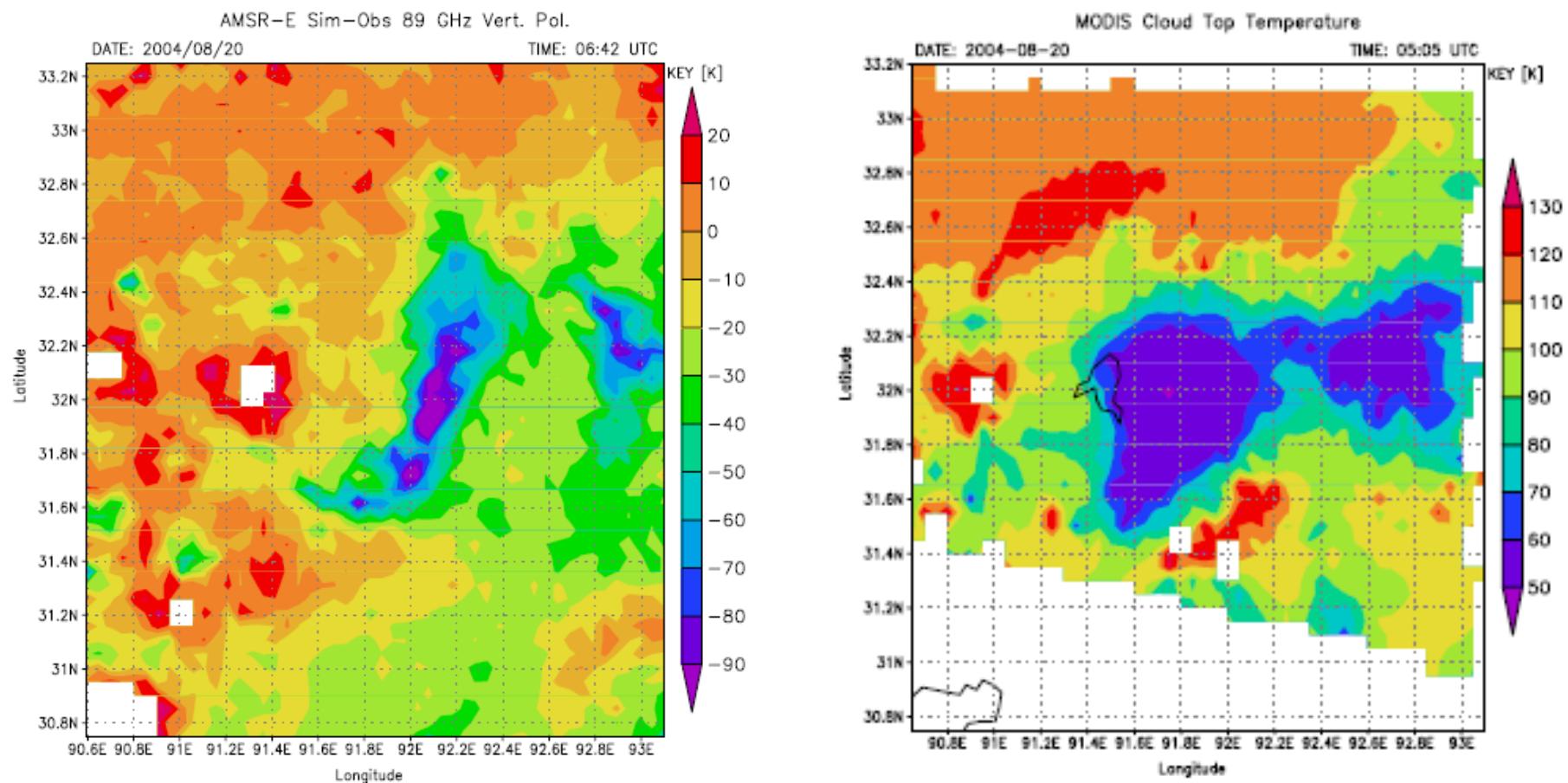


# Coupled Soil Atmosphere RTM

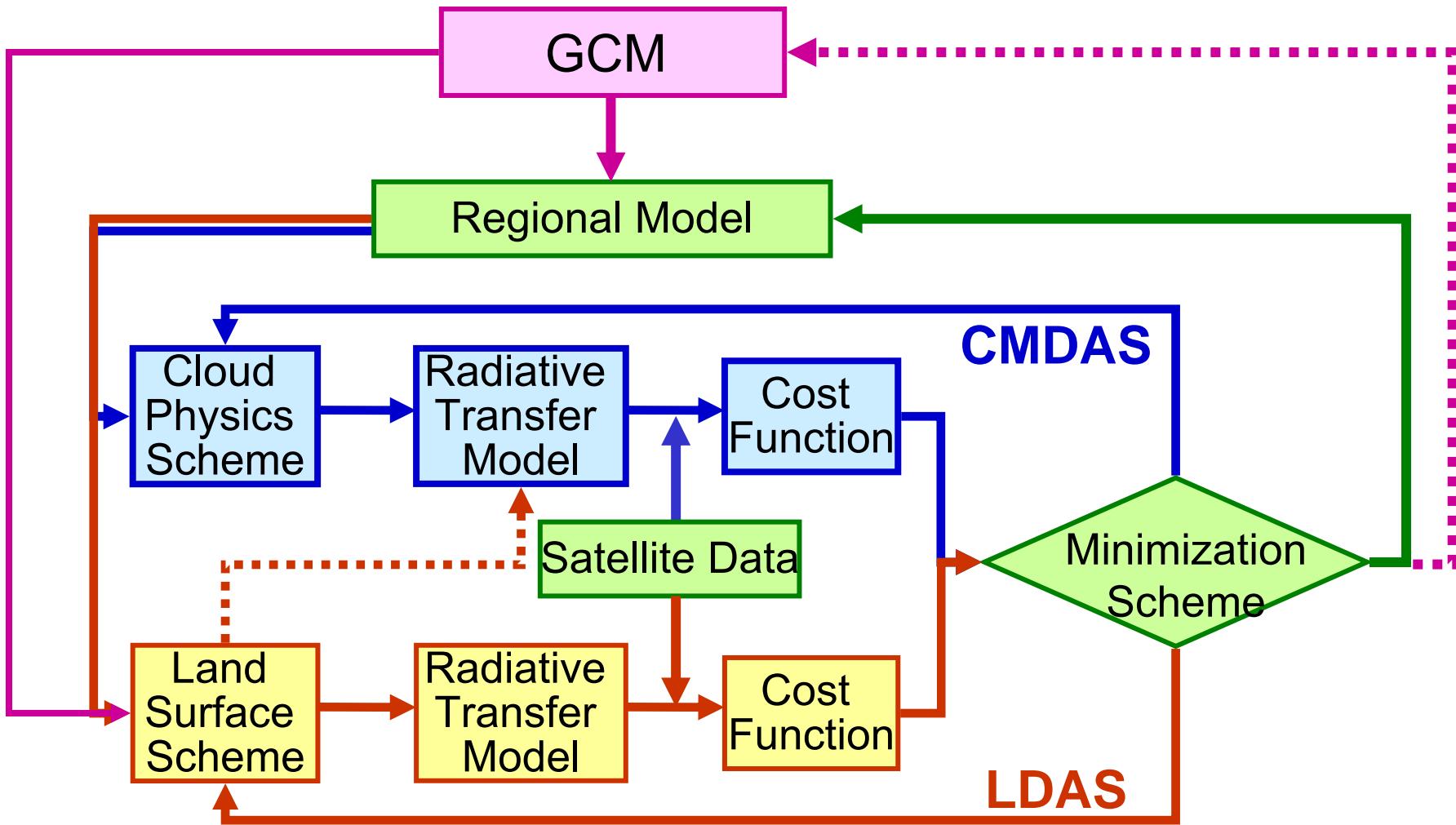


*By coupling AIEM with atmosphere RTM we get better agreement. For wetter cases AIEM is sufficient.*

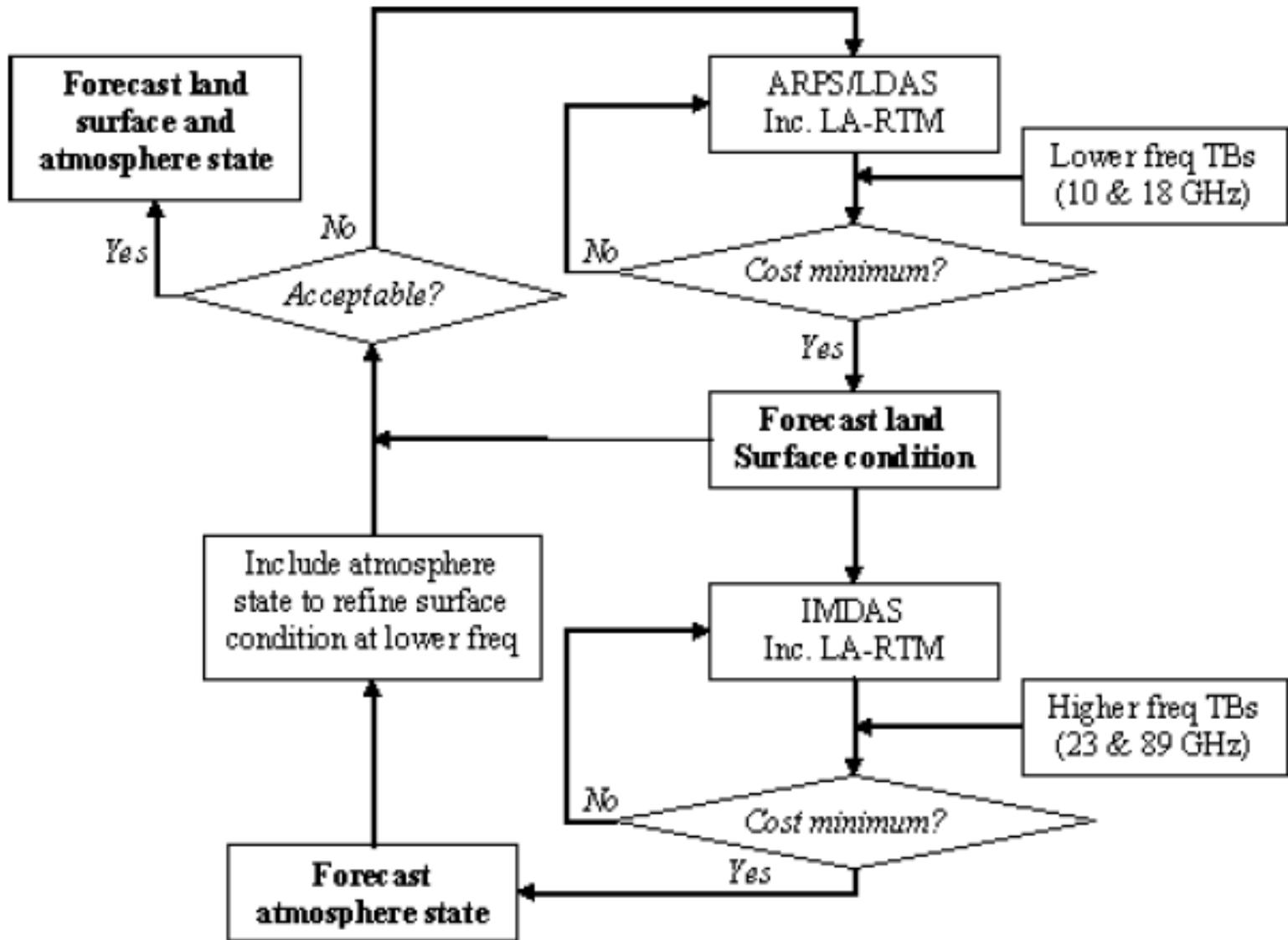
# Effect of Atmosphere



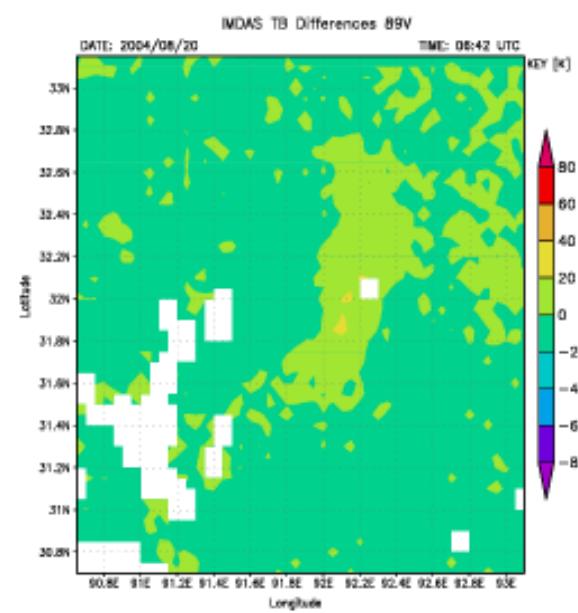
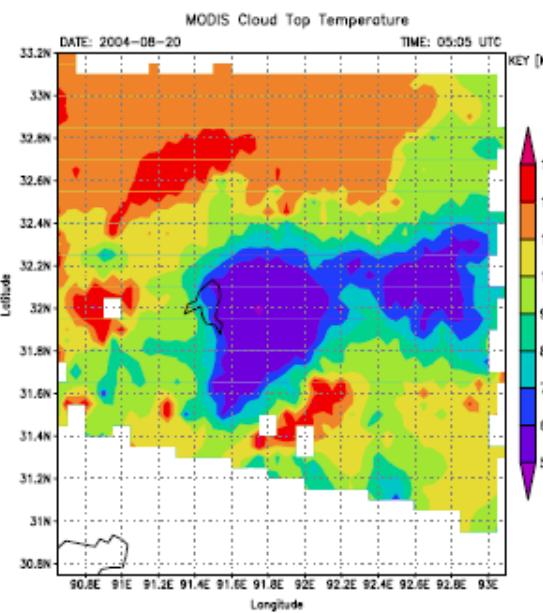
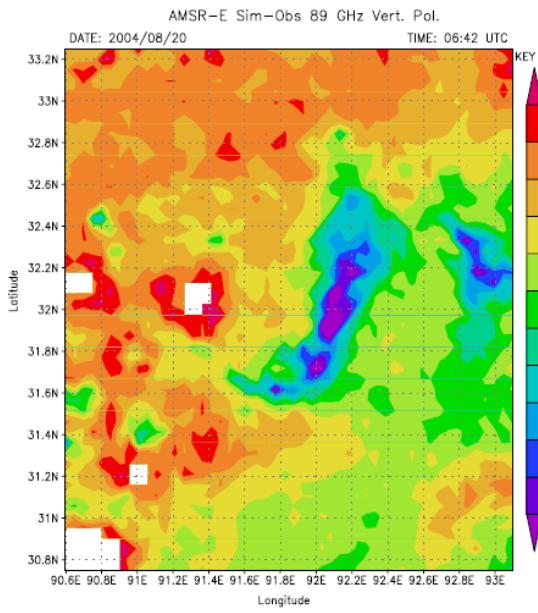
*Atmospheric effect derived from AMSR-E vs. MODIS Cloud Top Temperature*



# Atmosphere-Land Coupled Data Assimilation System



# T<sub>b</sub> Error



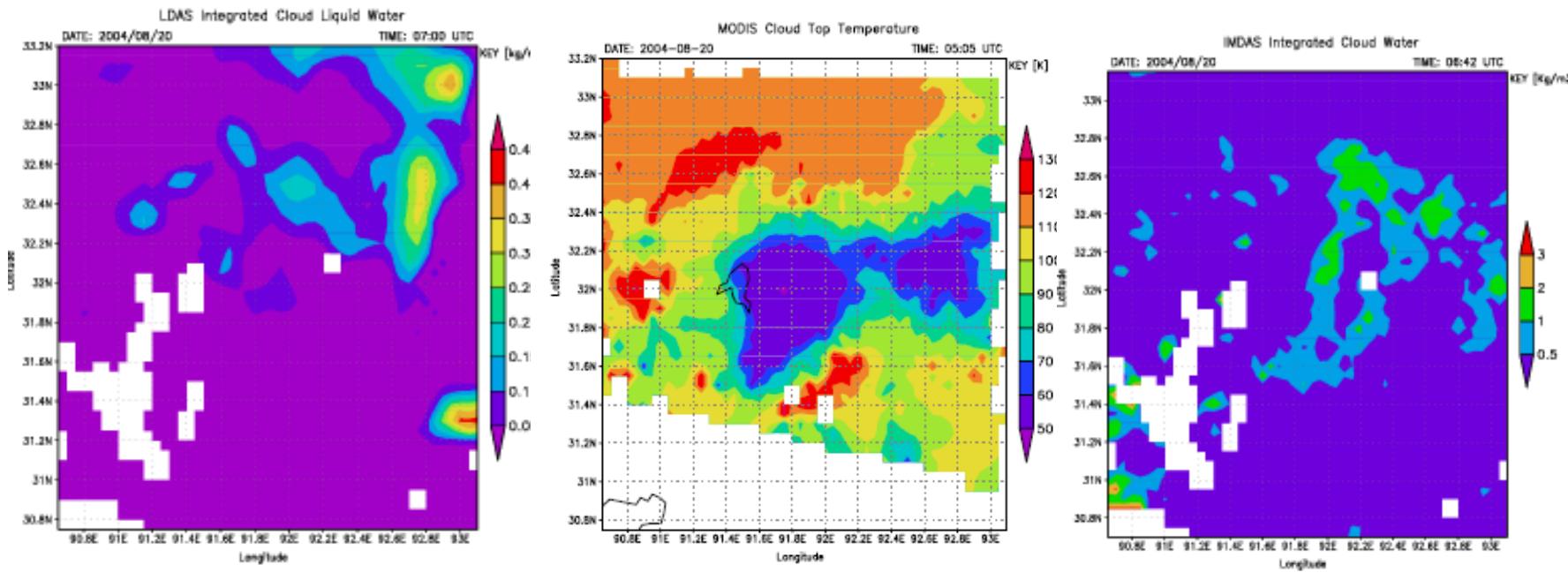
**LDAS only**

**MODIS/IR**

**A-L Coupled DAS**

*Atmospheric effect derived from AMSR-E vs. MODIS Cloud Top Temperature*

# Integrated Cloud Liquid Water



**LDAS only**

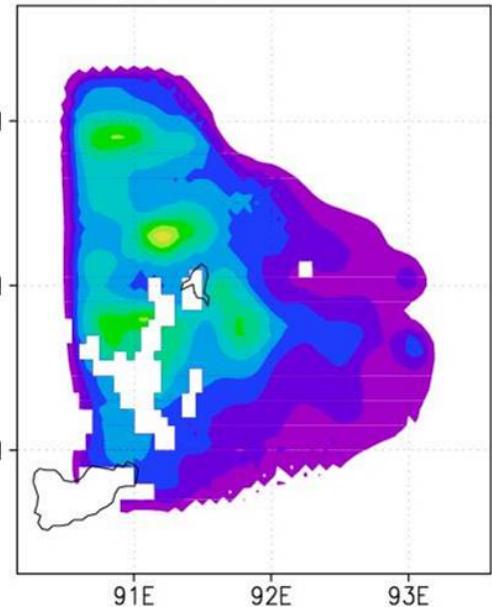
**MODIS/IR**

**A-L Coupled DAS**

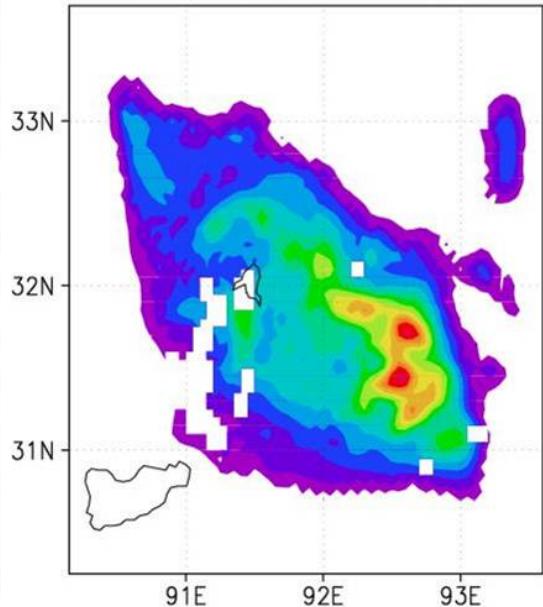
*Atmospheric effect derived from AMSR-E vs. MODIS Cloud Top Temperature*

# 24 hour Prediction of Rainfall over the Tibetan Plateau

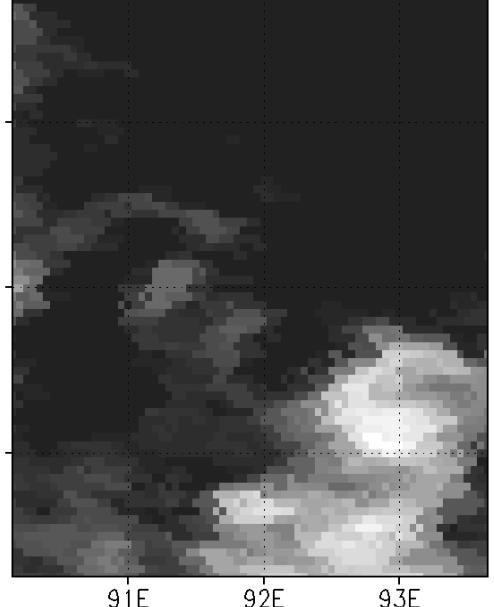
ARPS 48HR Forecast (01UTC 21AUG2004)  
Precip. Rate without Assimilation



ARPS 48HR Forecast (01UTC 21AUG2004)  
Precip. Rate with Assimilation



GOES-9 IR1 TB 01UTC 21AUG2004

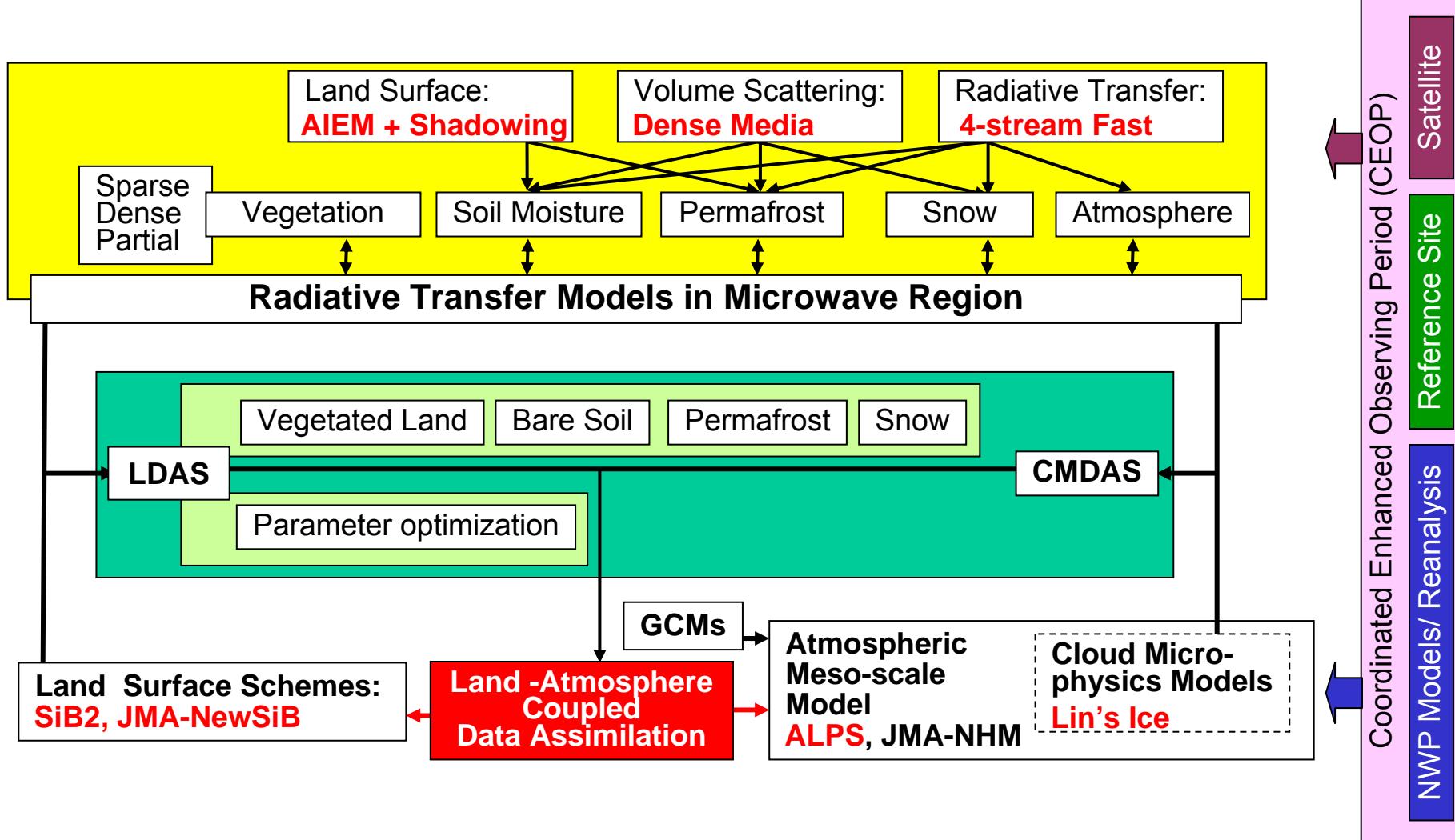


Only Nesting

2006-10-30 Lab. Hour

Prediction with  
the A-L Coupled  
Data Assimilation  
As an Initial Condition

GOES IR





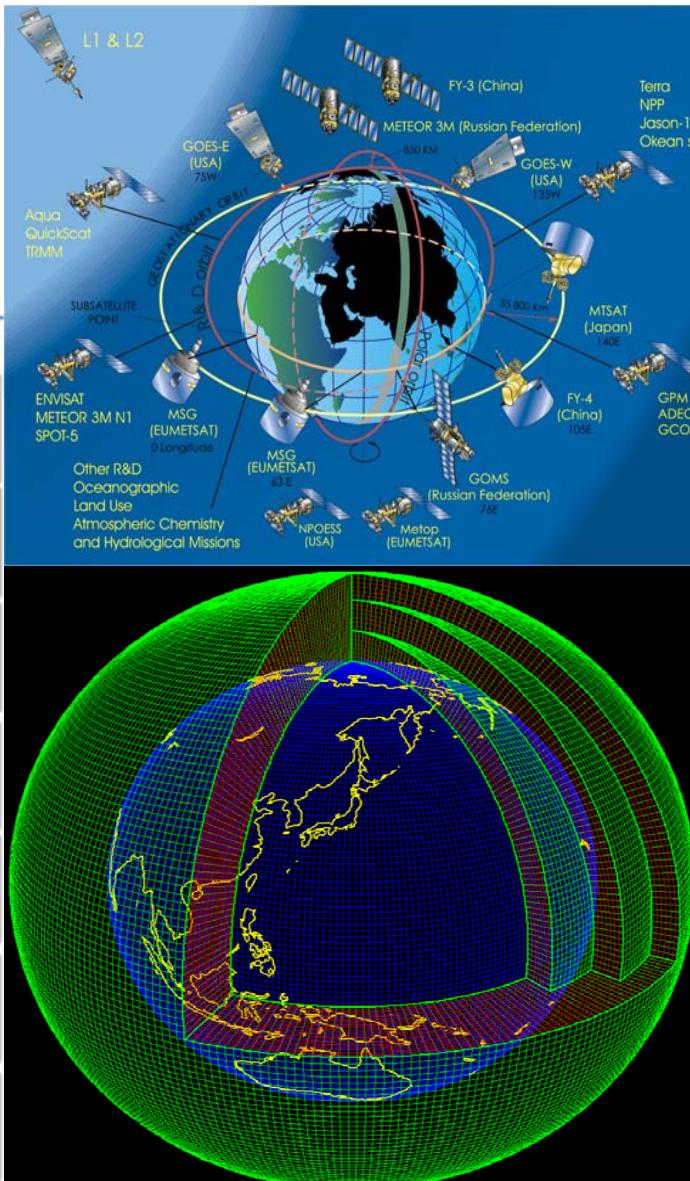
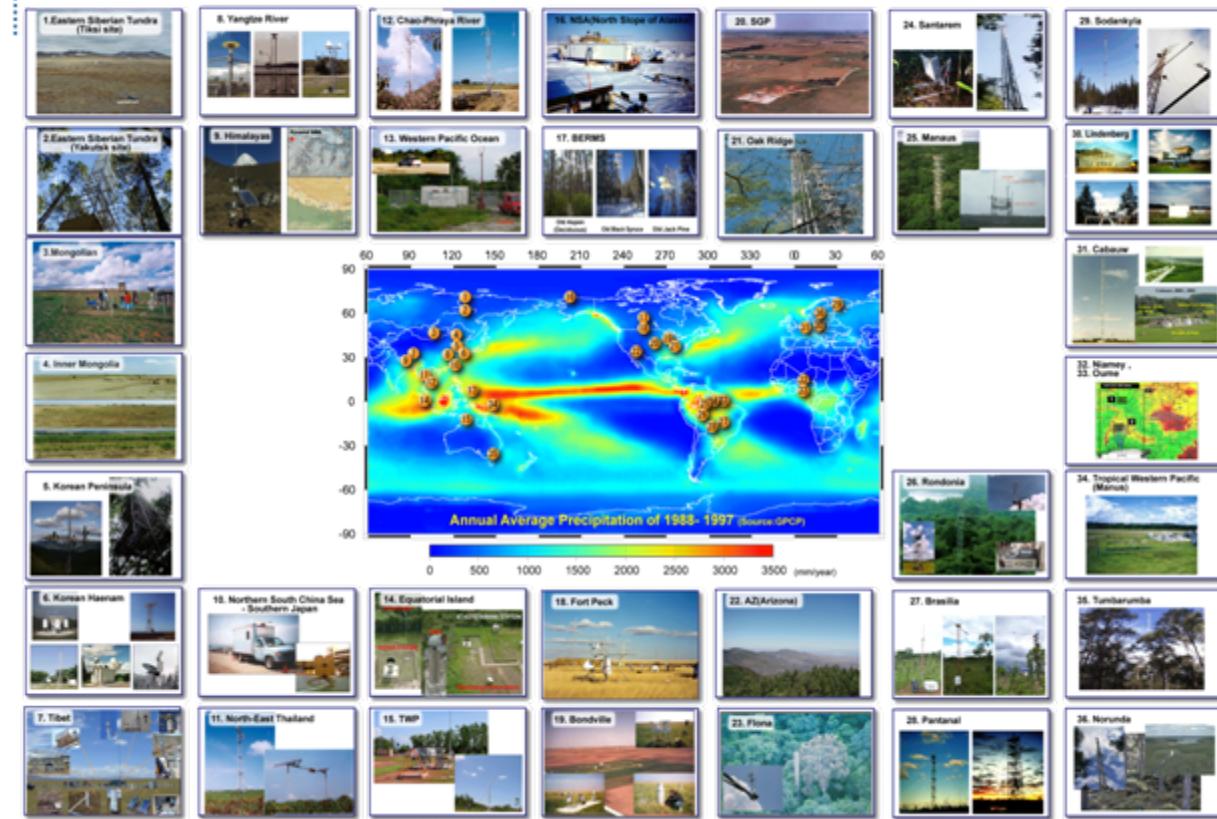
# Coordinated Enhanced Observing Period

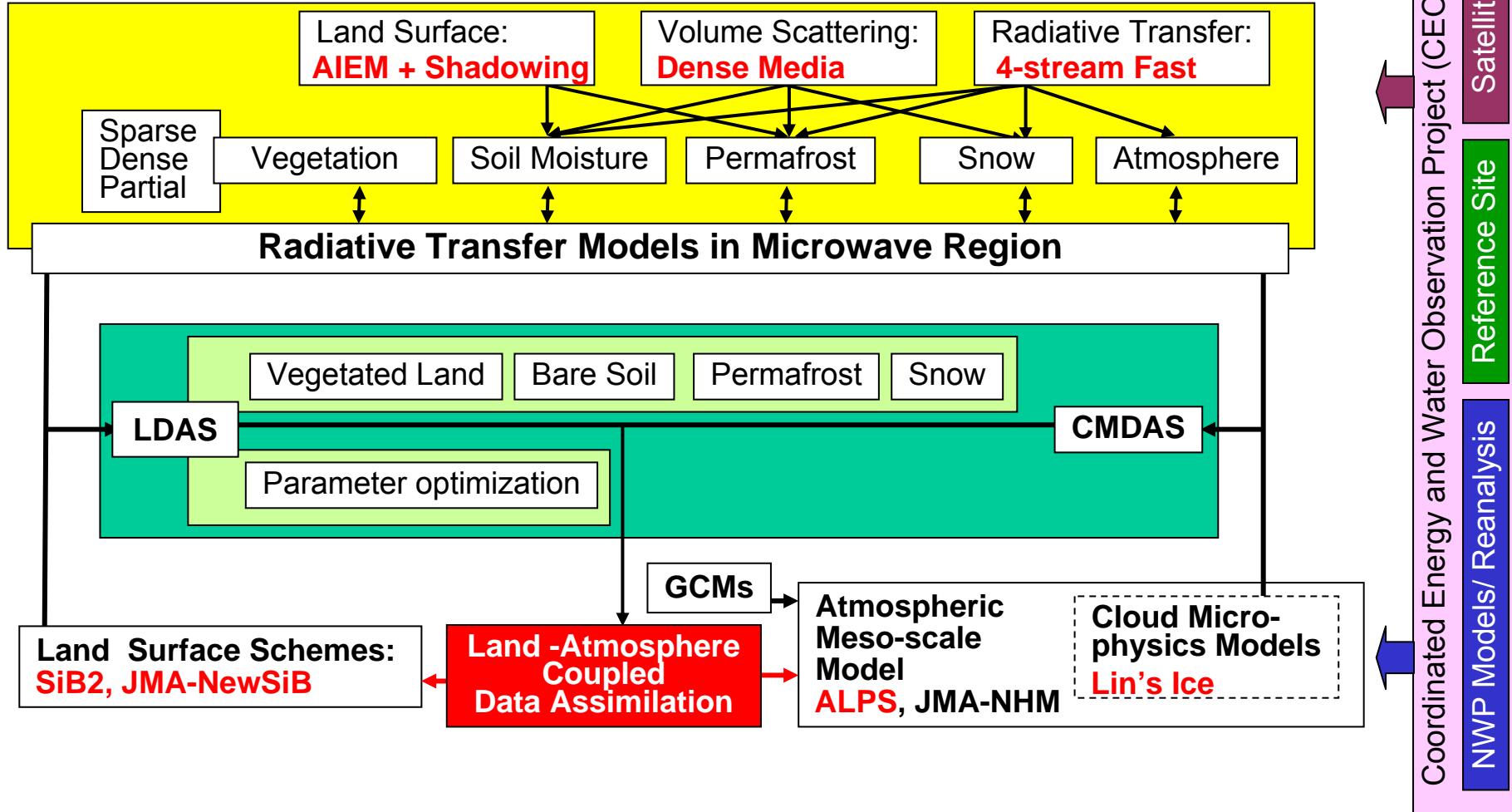
## Three Unique Capabilities

### Convergence of Observations

A Prototype of the Global Water Cycle Observation System of Systems

#### International Cooperation for the Global Coverage











18.7/23.8/36.5/89GHz

GBMR  
1125023

MAUL  
GROSS  
TARE  
WTG.  
8,000 KGS  
17,620 LBS  
3,800 KGS  
8,380 LBS

PAYOUT  
MAUL  
CAPACITY  
8,000 KGS  
17,640 LBS  
251 CU.M  
887 CUFT

# Microwave radiative transfer model for snow

## Dense media radiative transfer model (Tsang,1992)

Dielectric constant (Ice:  $\epsilon_{ice}$ )

Dielectric constant (Air:  $\epsilon_{air}$ )

Snow density ( $\rho_{snow}$ )

Frequency (f)

Snow grain size (r)

### Extinction coefficient $k_e$

$$K_e = \sqrt{k^2 + \frac{3K^2}{D(K)} \sum_{sl=1}^L f_{sl} y_{sl} \cdot \left( 1 + i \frac{2K^3}{3D(K)} \left[ a_{sl}^3 y_{sl} + \sum_{sj=1}^L y_{sj} a_{sj}^3 n_{sj} 8\pi^3 H_{sjsl} \right] \right)}$$

$$D(K) = 1 - \sum_{sl=1}^L f_{sl} y_{sl}(K) \quad y_{sl}(K) = \frac{k_{sl}^2 - k^2}{3K^2 + (k_{sl}^2 - k^2)}$$

### albedo $\omega$

$$\omega = \frac{2|Ko|^4}{K_e |D(Ko)|^2} \sum_{sl=1}^L f_{sl} y_{sl}(Ko) \cdot \left( a_{sl}^3 y_{sl}^*(Ko) + \sum_{sj=1}^L y_{sj}^*(Ko) a_{sj}^3 n_{sj} 8\pi^3 H_{sjsl} \right)$$

$$Ko = \sqrt{k^2 + \frac{3K_o^2}{D(Ko)} \sum_{sl=1}^L f_{sl} y_{sl}(Ko)}$$

## 4-stream fast radiative transfer model (Liu,1998)

Temperature ( $t_{snow} \cdot t_{soil}$ )

Snow depth(d)

Soil moisture ( $m_v$ )

Soil density ( $\rho_{soil}$ )

Dielectric constant (Soil:  $\epsilon_{soil}$ )

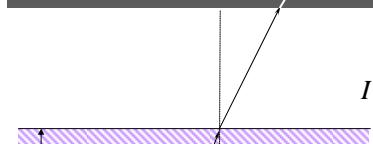
### Brightness temperature

$$I(\tau, -\mu) = I(0, -\mu) e^{-\tau/\mu} + \sum_{j=-n}^n L_j W_j(-\mu) \left( e^{-k_j \tau} - e^{-\tau/\mu} \right) + Z_0 \left( 1 - e^{-\tau/\mu} \right) - B_1 [\tau - \mu (1 - e^{-\tau/\mu})]$$

$$I(\tau, +\mu) = I(\tau^*, +\mu) e^{-(\tau^* - \tau)/\mu} + \sum_{j=-n}^n L_j W_j(\mu) \left( e^{-k_j \tau} - e^{-[k_j \tau^* + (\tau^* - \tau)/\mu]} \right) + Z_0 \left( 1 - e^{-(\tau^* - \tau)/\mu} \right) - B_1 [(\tau^* - \tau) - \mu (1 - e^{-(\tau^* - \tau)/\mu})]$$

$$I(\tau, +\mu) = I(\tau^*, +\mu) e^{-(\tau^* - \tau)/\mu} + \sum_{j=-n}^n L_j W_j(\mu) \left( e^{-k_j \tau} - e^{-[k_j \tau^* + (\tau^* - \tau)/\mu]} \right) + Z_0 \left( 1 - e^{-(\tau^* - \tau)/\mu} \right) - B_1 [(\tau^* - \tau) - \mu (1 - e^{-(\tau^* - \tau)/\mu})]$$

$$I(\tau^*, +\mu)$$



# Models

- Model Operator - JMA New-SiB:
  - Assuming Bare Soil => Khatassy (open Field)
  - Simple grain growth model
  - Model Run from: Nov. 15 to March 15  
Assimilation was initialized using Model Start in October
- Observation Operatore - RTM:
  - MEMLS  
= Microwave Emission Model for Layered Snowpacks
    - Linear conversion between grain size and correlation length
  - Dry Soil, but actually Frozen Soil
  - No Effect of Vegetation
  - No Atmospheric Correction

# Simple Grain Growth Model

- Rachel Jordan, 1991:
- Dry Snow – Kinetic Growth:

$$\frac{\delta d}{\delta t} = \frac{g_1 |U_v|}{d} = \frac{g_1}{d} D_{EOS} \left( \frac{1000}{P_a} \right) \left( \frac{T}{273.15} \right)^6 C_{kT} \left| \frac{\delta T}{\delta t} \right|$$

- Wet Snow

- $\theta < 0.09$ :

$$\frac{\delta d}{\delta t} = \frac{g_2}{d} (\theta_l + 0.05)$$

$$\frac{\delta d}{\delta t} = 0.14 \frac{g_2}{d}$$

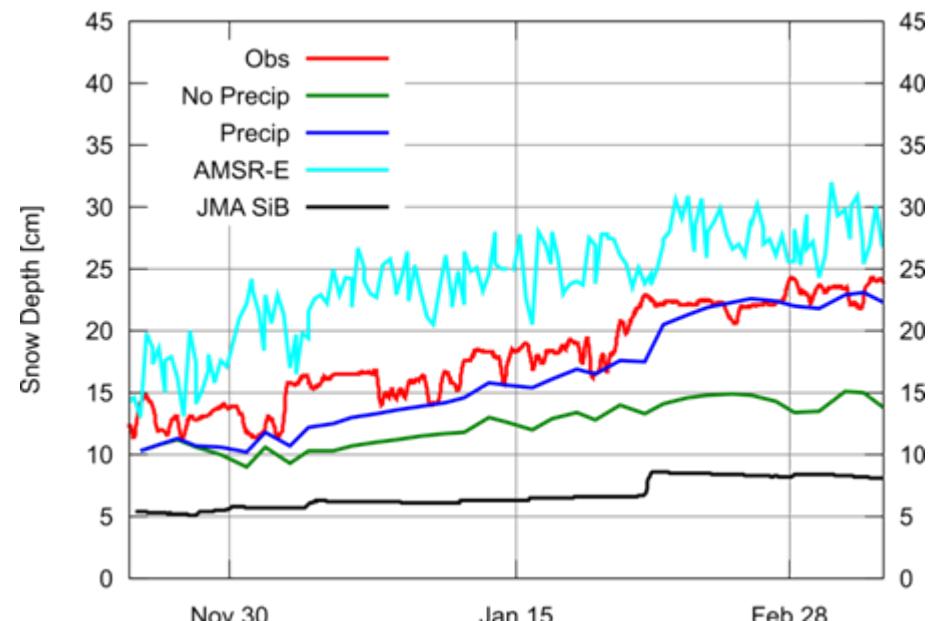
- Basic features

- Temperature Gradient
- High Temperature
- large Grain Size
- Wetness

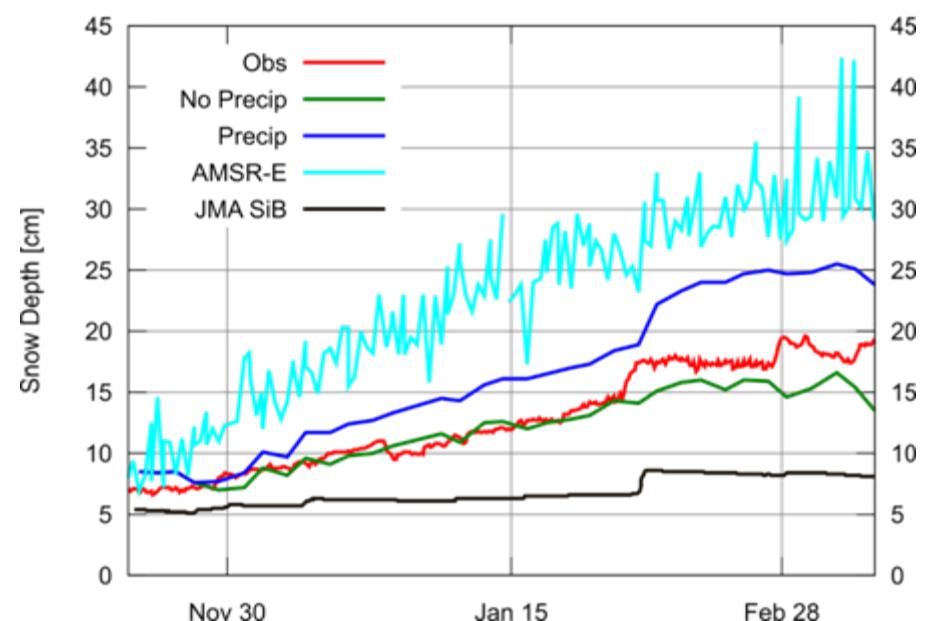
- $dr/dt$  increases
- $dr/dt$  increases
- $dr/dt$  decreases
- $dr/dt$  increases

- Does not consider Equitemperature, but small anyway compared to Kinetic Grain Growth

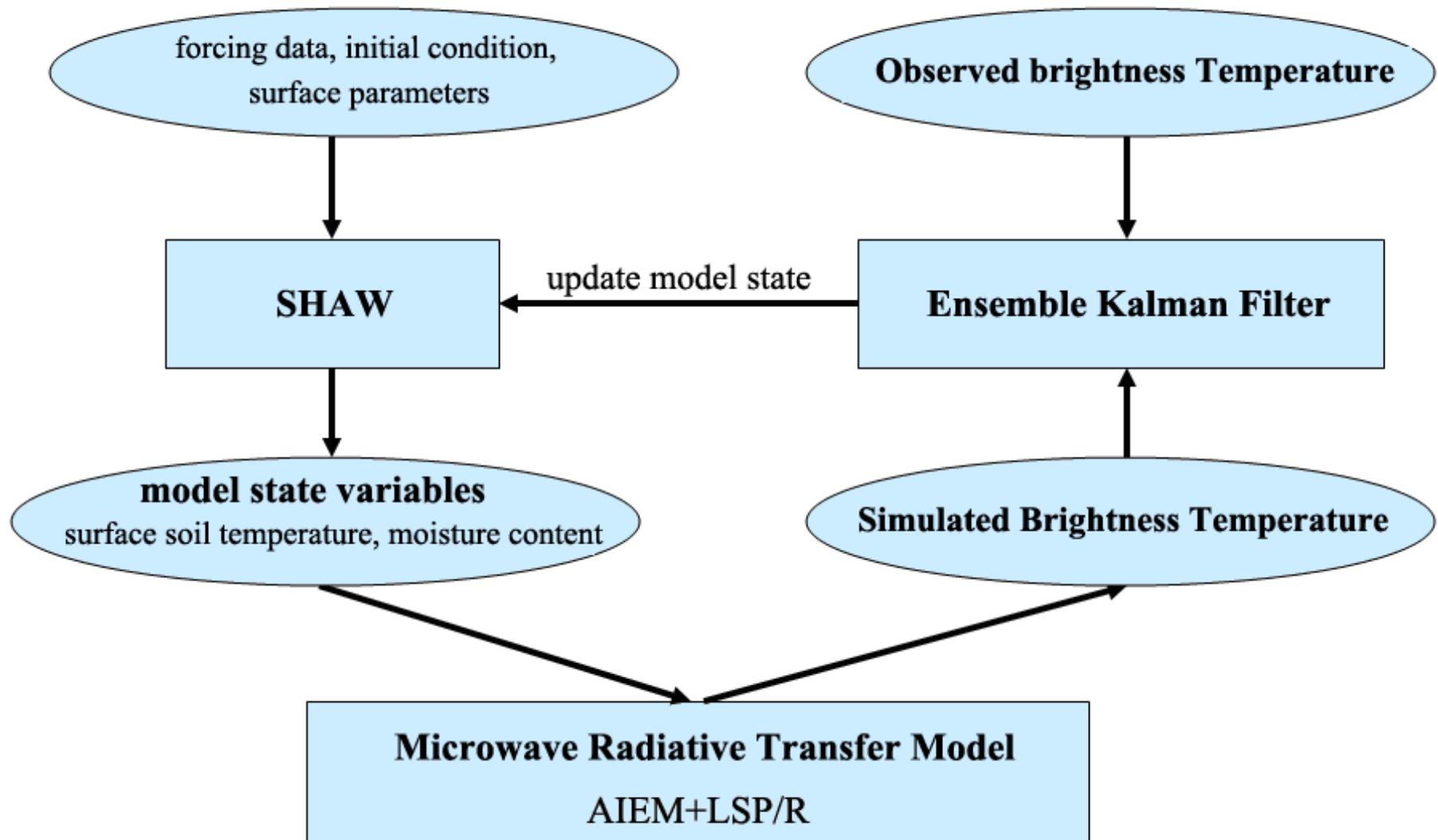
# Validation of Snow-LDAS in Yakutsk



2003-2004

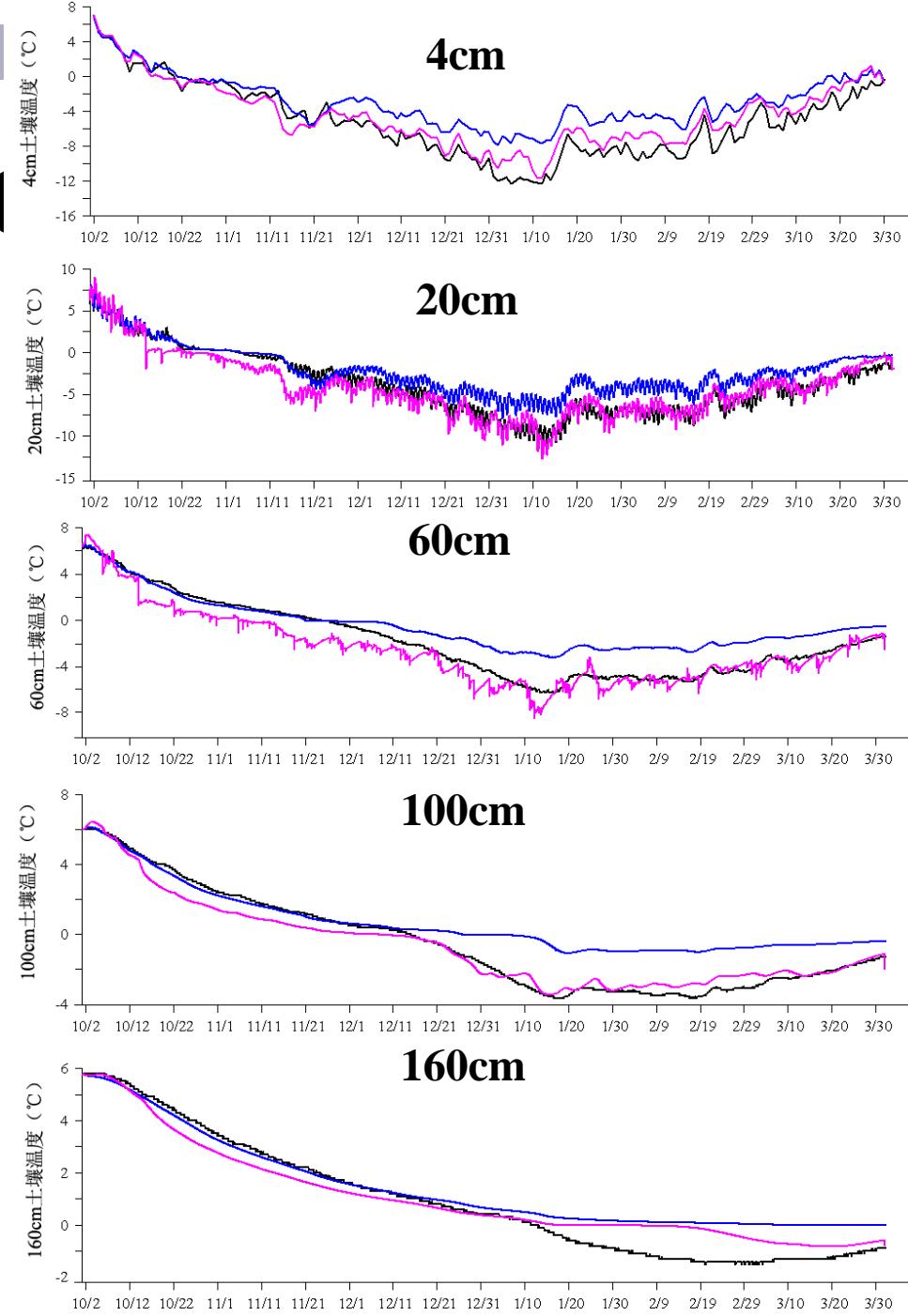


2004-2005



# Assimilation Result of the soil tem.

After assimilating SSM/I 19GHz brightness temperature, the RMSE of soil temperature in winter decrease 0.76K.



By Dr. Rui

2006-10-30 Lin Hour

Frozen soil

MODIS snow-cover products (NASA, Hall et. al.)

Soil or Soil + snow

Initial profile parameters

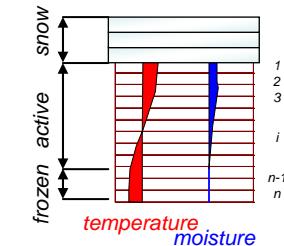
Meteorological forcing data  
Observed brightness temperature data  
Vegetation data

Soil      Soil structure profile data

Snow      Snow density profile data

- Snow**
- New snow grain size ( $g_{t,n}$ )
  - Effective diffusion coefficient of water vapor in snow (Deos)
  - Variation of saturation vapor pressure ( $C_{KT}$ )
  - Temperature gradient in snow pack ( $dT/dz$ )
  - Air pressure (Pa)
  - Empirical grain growth parameter ( $g_i$ )

Frozen soil layer length



YES

$T_{bo} \neq T_{bs}$

JMA-SiB

Snow grain growth model

Update variable parameters

Observed brightness temperature  $T_{bo}$

Ensemble Kalman Filter

Simulated brightness temperature  $T_{bs}$

Variable profile parameters

Soil      Soil moisture  
Soil temperature

RTM

- Frozen Soil**
- Dielectric constant profile data  $\varepsilon$  (soil+ice+air)
  - Soil particle size profile data

- Snow**
- Dielectric constant profile data  $\varepsilon$  (ice+air)

DMRT

- Frozen Soil**
- Extinction coefficient profile data  $[K_{fs}(i)]$
  - Albedo profile data  $[\omega_{fs}(i)]$

- Snow**
- Extinction coefficient profile data  $[K_{sn}(i)]$
  - Albedo profile data  $[\omega_{sn}(i)]$

4 stream fast model

AIEM

4 stream fast model

$Tb^-$

$e_b$

Snow      Snow temperature  
Snow layer length  
Snow grain size